

# Logical relations, fibrations, and definability

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(jww Ohad Kammar & Shin-ya Katsumata)

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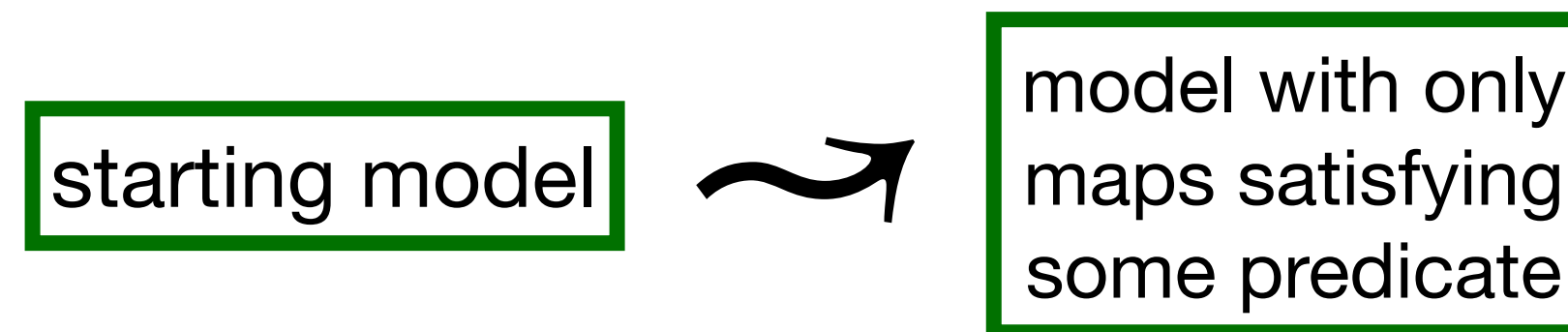
≈ loosely based on POPL '21 paper

# Motivation

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System F: parametric maps  
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**Strategy:**

use fibrations, logical relations, and glueing

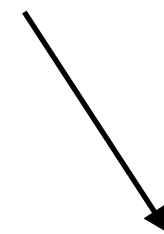
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1. Restricting models via fibrations ('categories of concrete relations')
2. Logical relations for effectful languages
3. Full completeness  
= building a model in which every map is definable

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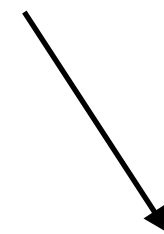
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conjecture extension to an  
extrinsic, 2-categorical story

# Syntax and semantics of $\lambda_{ml}$

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types:  $\beta \in \text{Base} \mid \sigma_1 \times \sigma_2 \mid \sigma \rightarrow \tau$

terms:  $x \mid \xi \in \text{Prim} \mid \text{op} \in \text{EfOp}$

$\mid MN \mid \lambda x. M \mid \pi_1(M) \mid \pi_2(M) \mid \langle M, M' \rangle \mid ()$

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 $\mid \textcolor{red}{return(M)} \mid \textcolor{red}{let } x = N \text{ in } M$

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- $s[[M : \sigma]]$  as for simply-typed lambda calculus
- $s[[\text{return}(M) : T\sigma]] = \eta \circ s[[\sigma]]$
- $s[[\text{let} \dots]]$  interpreted using monadic bind

# 1: Concrete relations (by example)

a flexible method for restricting models



# Example: read-only state ( $\text{Set}, T, s$ )

## syntax:

base types:  $\text{bool}$

primitives:  $\text{tt} : \text{bool}$ ,  $\text{ff} : \text{bool}$ ,  $\text{or} : \text{bool} \times \text{bool} \rightarrow \text{bool}$ , etc

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interpretation of base types and constants:

$s(\text{bool}) = 2 = \{ \top, \perp \}$

$s(\text{read}) = \lambda x. \lambda i. i : 1 \rightarrow (2 \Rightarrow 2) = T(s[[\text{bool}]])$

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$$\exists M, M' . (M \simeq_{ctx} M' \text{ but } [[M]](\kappa) \neq [[M']](\kappa))$$



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$\kappa$  is a **bad map**: want to remove it

# Idea: restrict to maps preserving relations

Define a category  $\mathbb{L}$  of ‘predicates’

- objects:  
 $(X, R_0, R_1)$  with  $X \in \text{Set}$ ,  $R_i \subseteq X^2$
- maps  $(X, R_0, R_1) \rightarrow (Y, S_0, S_1)$ :  
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$$(X, R_0, R_1) \Rightarrow (Y, S_0, S_1) := (X \Rightarrow Y, R_0 \supset S_0, R_1 \supset S_1)$$

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- terminal object:

$$(1, \top, \top) \quad \top = \{(\bullet, \bullet)\}$$

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- products:

$$(X, R_0, R_1) \times (Y, S_0, S_1) = (X \times Y, R_0 \star S_0, R_1 \star S_1)$$

$$((x_1, y_1), (x_2, y_2)) \in (R_i \star S_i) \iff (x_1, x_2) \in R_i \text{ and } (y_1, y_2) \in S_i$$

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- monad:

$$\hat{T}(X, R_0, R_1) = (TX, (\hat{T}R)_0, (\hat{T}R)_1)$$

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$\kappa$  is not a map

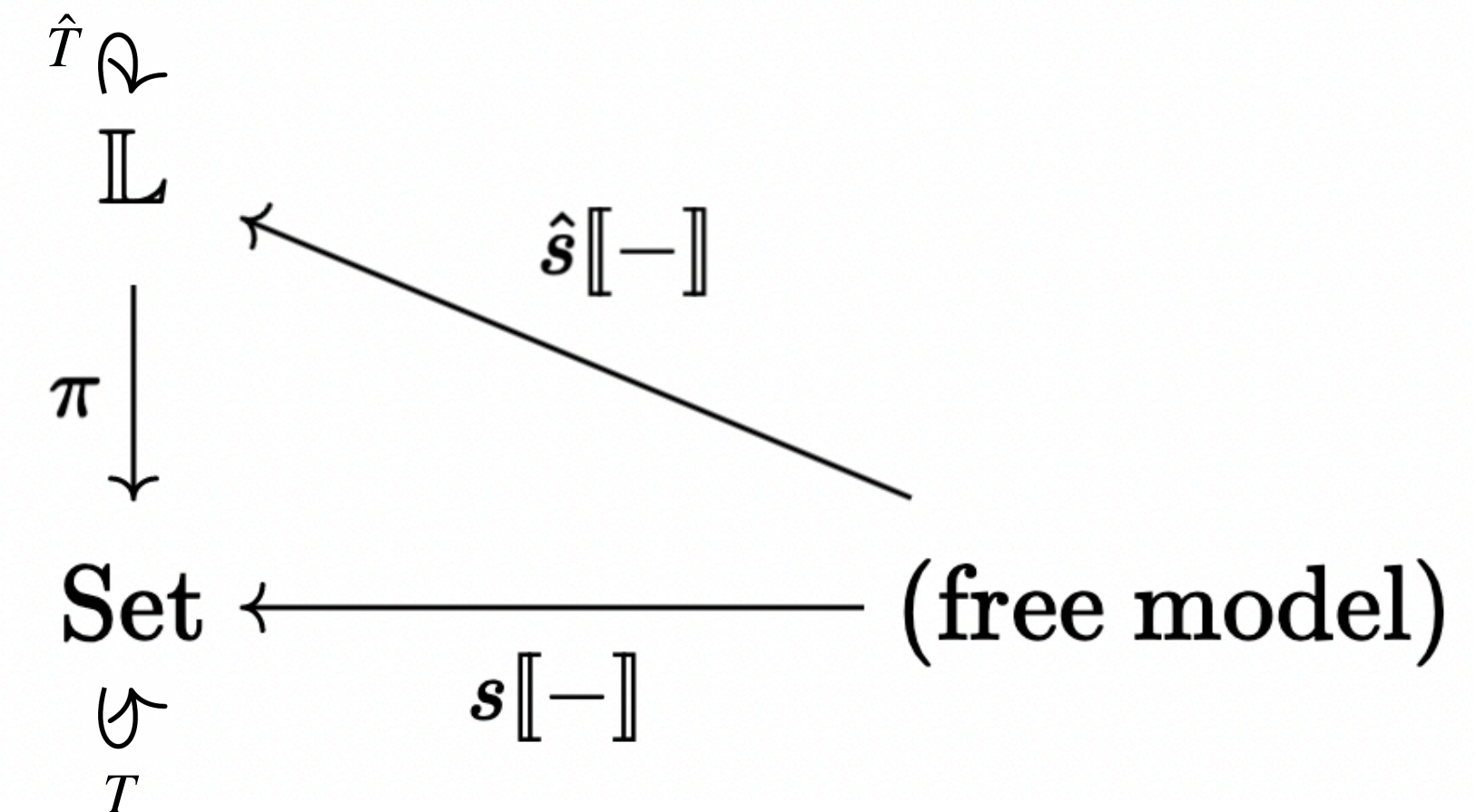
$$\kappa : (1 \Rightarrow \hat{T}\hat{s}[\![\text{bool}]\!]) \rightarrow \hat{T}\hat{s}[\![\text{bool}]\!]$$

in  $\mathbb{L}$

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...have a model  $(\mathbb{L}, \hat{T}, \hat{s})$  of ‘predicates’ with

- **morphism of models**  $\pi : \mathbb{L} \rightarrow \text{Set}$ :
  - preserves
    - ccc-structure
    - monads
    - semantic interpretation

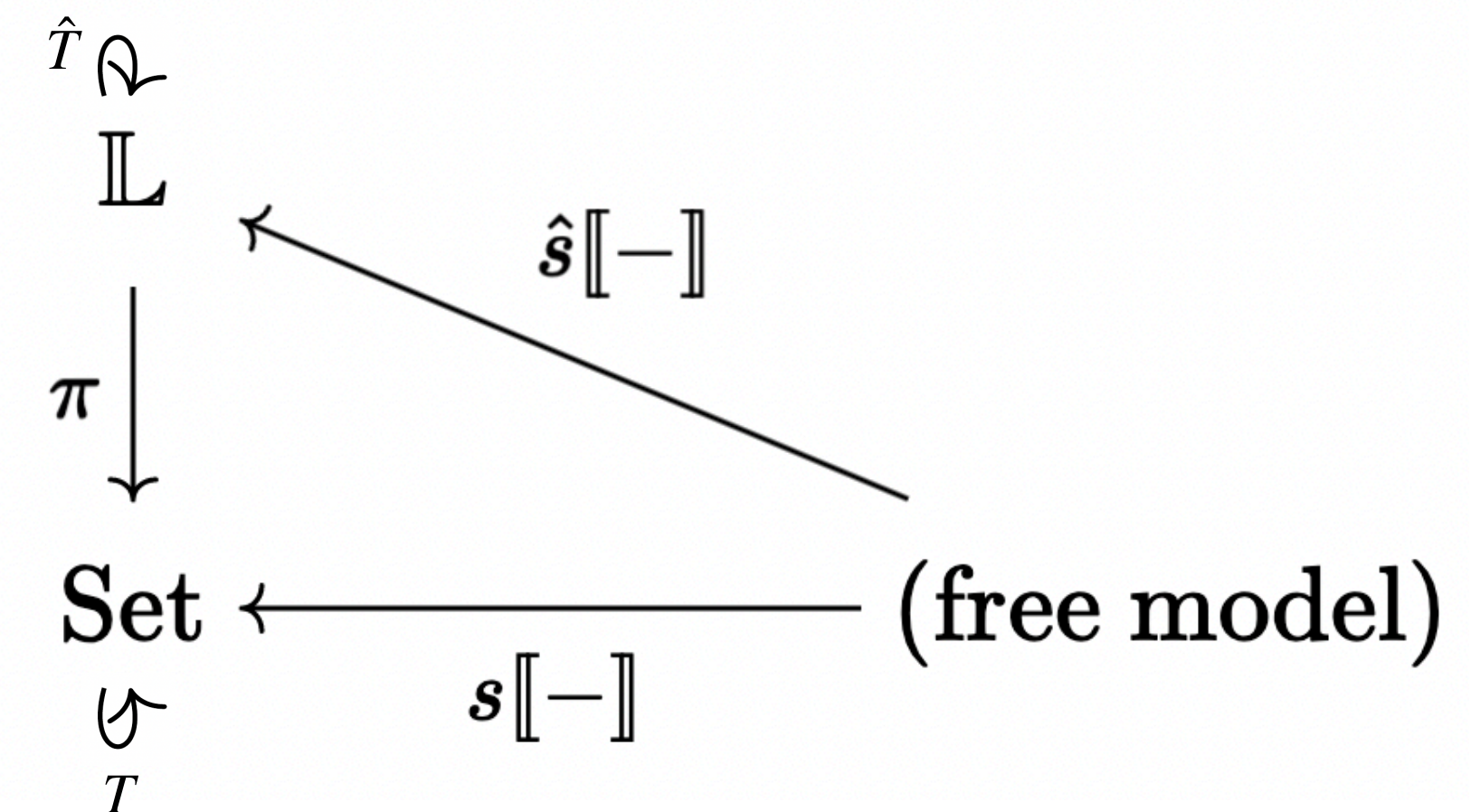




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**problem:**  $\kappa \in s[(1 \rightarrow T\text{bool}) \rightarrow T\text{bool}]$   
 $\implies \kappa \in (\text{carrier of } \hat{s}[(1 \rightarrow T\text{bool}) \rightarrow T\text{bool}])$

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$\mathbb{L}$  removes  $\kappa$  from the hom-set, but not the function space

can still distinguish contextually-equivalent terms!

**Concreteness: removing  $\kappa$  from the function space**

# Concreteness: removing $\kappa$ from the function space

$\kappa \in \hat{s}[(1 \rightarrow T\text{bool}) \rightarrow T\text{bool}]$  does not correspond to a global element:

there is no  $g : 1 \rightarrow \hat{s}[(1 \rightarrow T\text{bool}) \rightarrow T\text{bool}]$  in  $\mathbb{L}$

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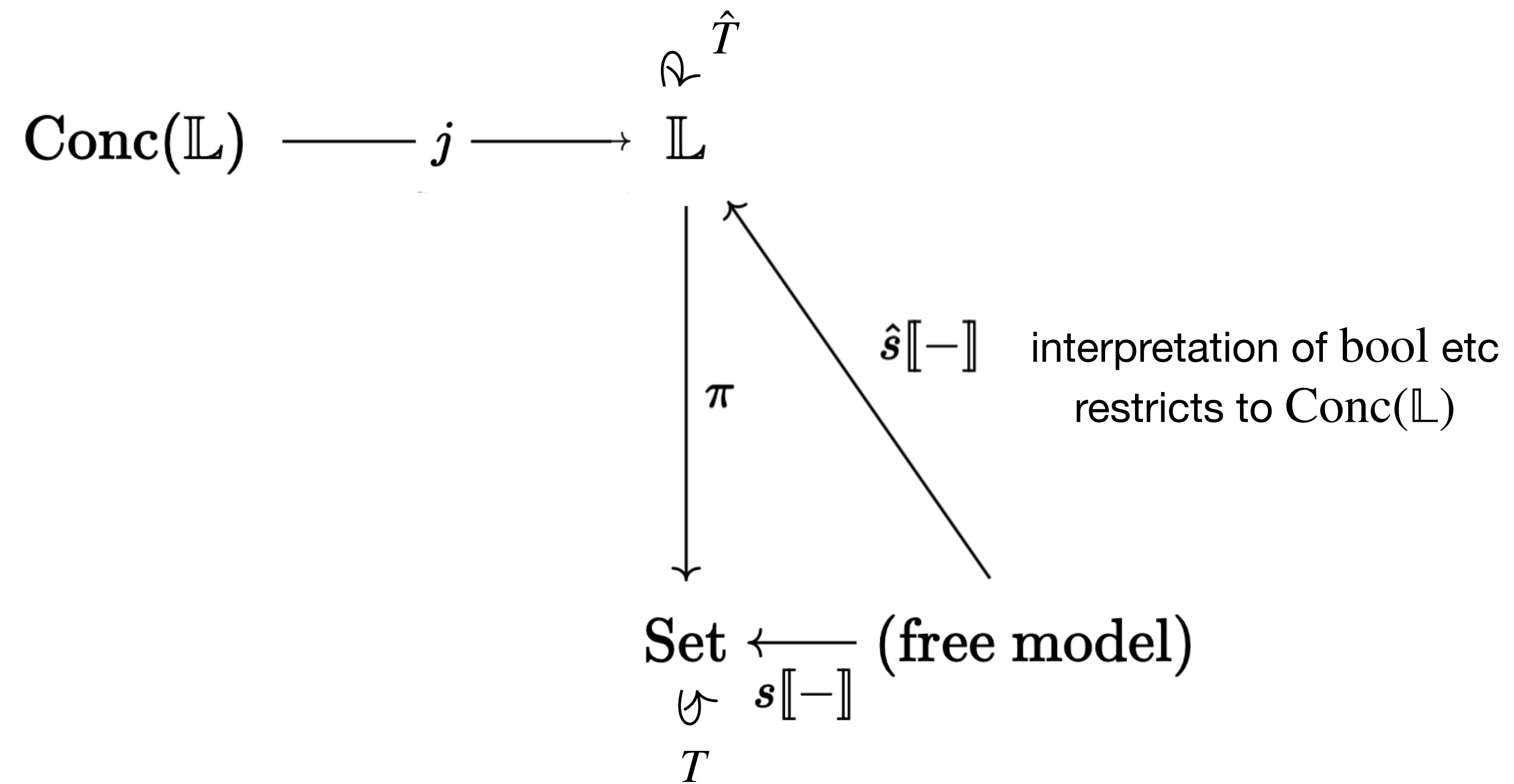
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so: restrict to objects  $(X, R_0, R_1)$  in which every  $x \in X$   
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$(X, R_0, R_1)$  is concrete if every  $x : 1 \rightarrow X$  in Set lifts to  $(1, \top, \top) \rightarrow (X, R_0, R_1)$

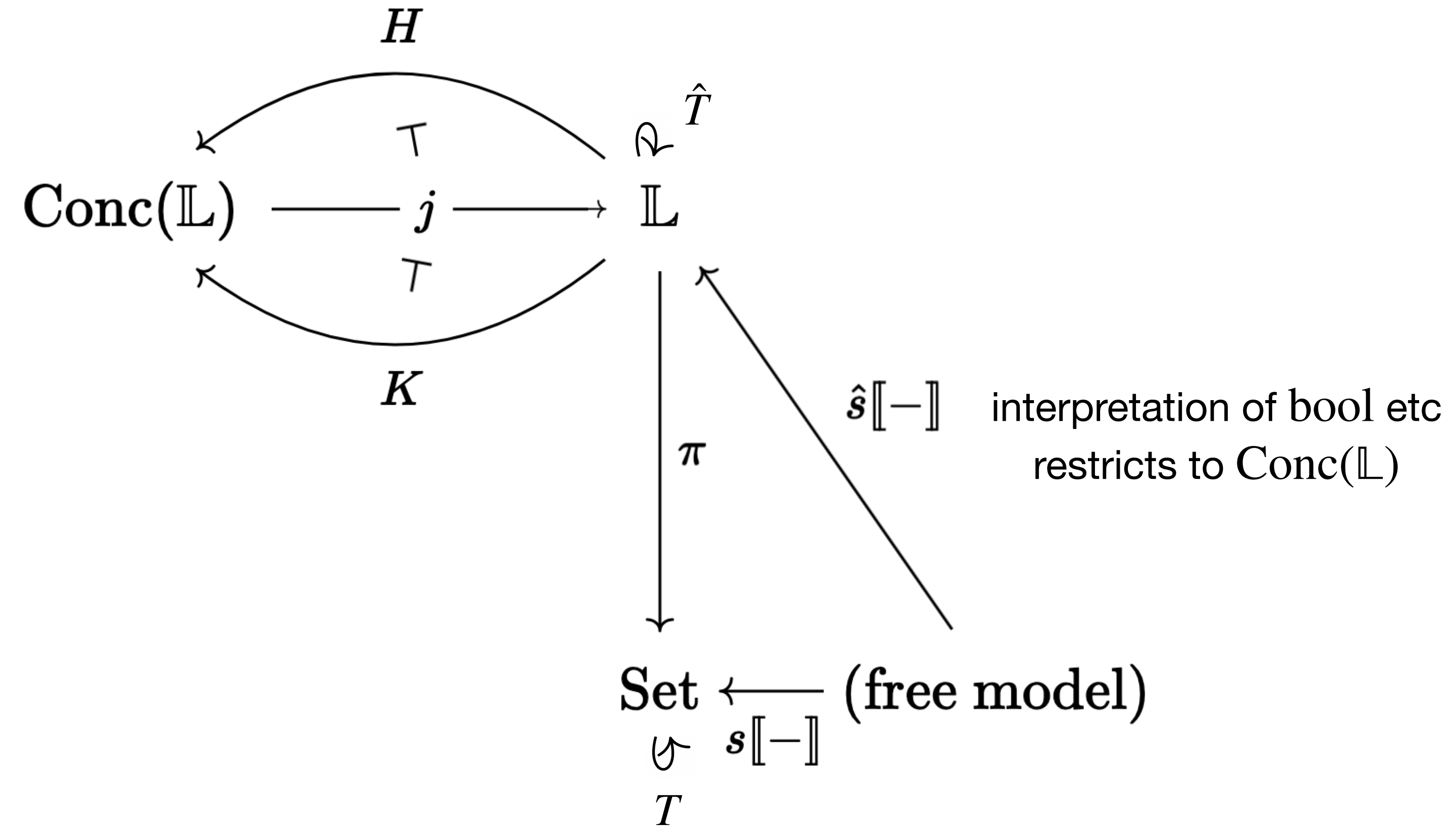
$$x \in X \implies (x, x) \in R_i \ (i = 1, 2)$$

# The subcategory of concrete objects

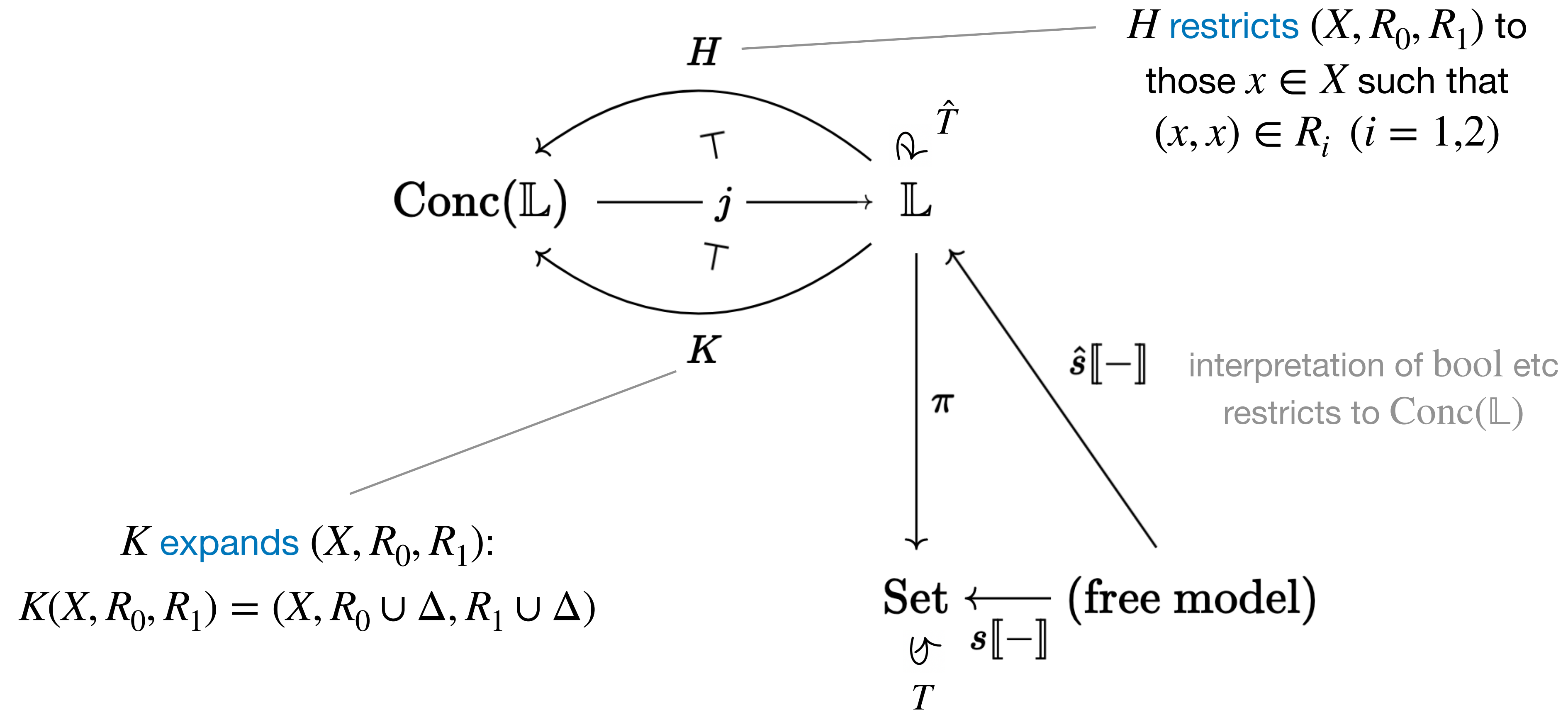




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monad by  
abstract  
nonsense

ccc by  
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$$[- \Rightarrow =]_{\text{Conc}} = H(j(-) \Rightarrow j(=))$$

$K$  expands  $(X, R_0, R_1)$ :

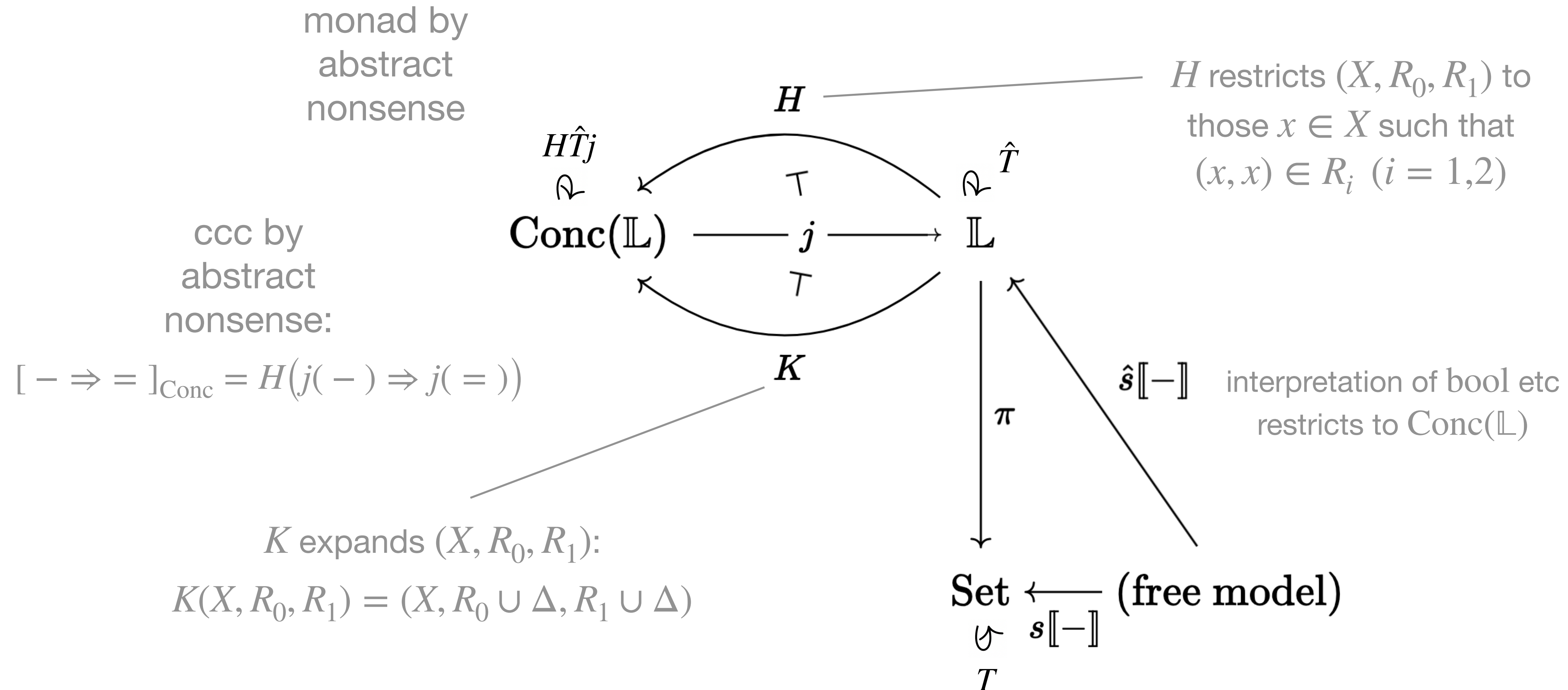
$$K(X, R_0, R_1) = (X, R_0 \cup \Delta, R_1 \cup \Delta)$$

$H$  restricts  $(X, R_0, R_1)$  to  
those  $x \in X$  such that  
 $(x, x) \in R_i$  ( $i = 1, 2$ )

$\hat{s}[-]$  interpretation of bool etc  
restricts to  $\text{Conc}(\mathbb{L})$

$$\text{Set} \xleftarrow[\mathcal{T}]{\mathcal{U}} s \llbracket - \rrbracket \text{ (free model)}$$

# The subcategory of concrete objects



key property:  $\left[ (X, \dots) \Rightarrow (Y, \dots) \right]_{\text{Conc}} \cong \mathbb{L}(j(X, \dots), j(Y, \dots)) \subseteq \text{Set}(X, Y)$

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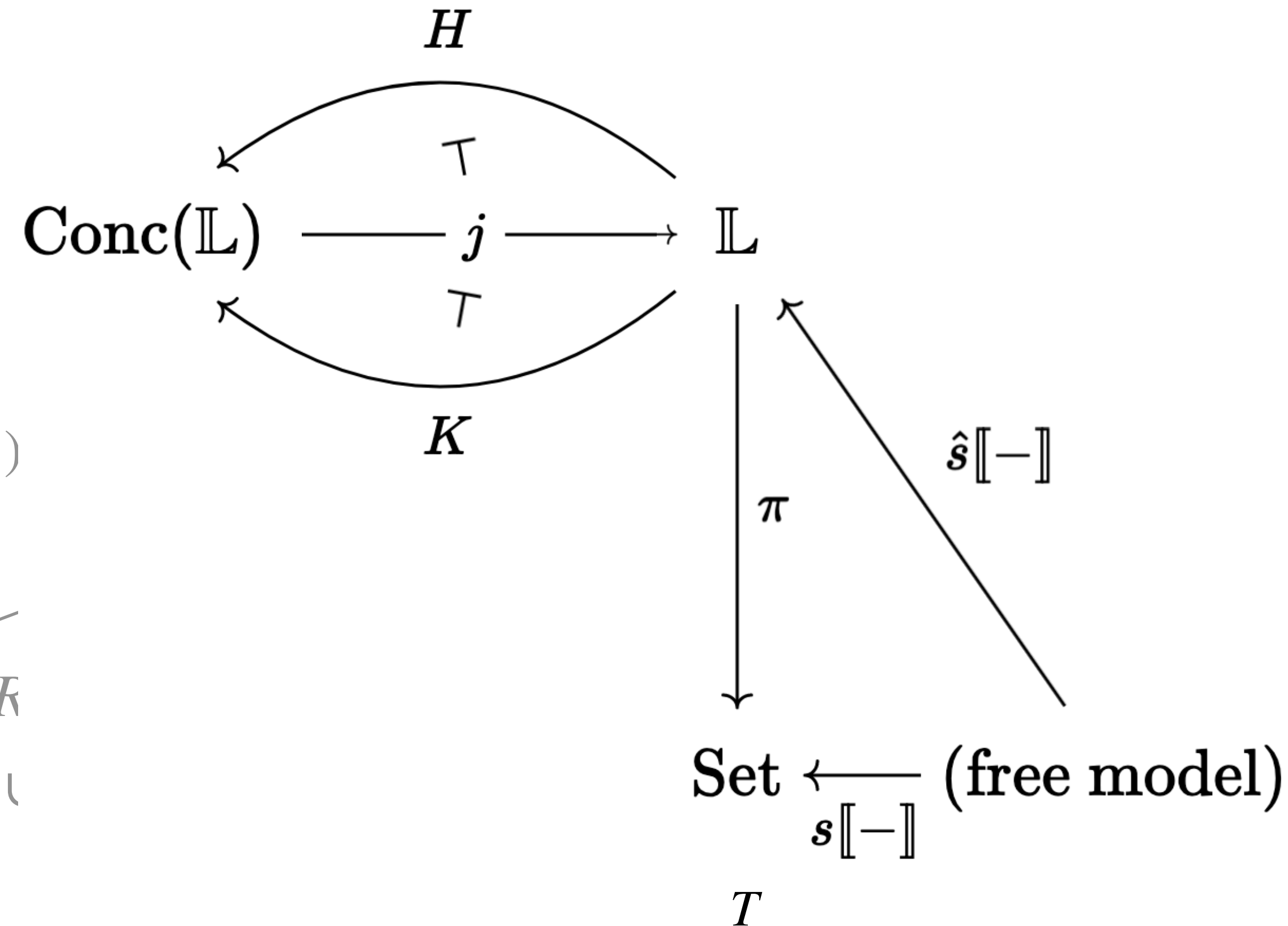
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key property:  $[(X, \dots) \Rightarrow (Y, \dots)]_{\text{Conc}} \cong \mathbb{L}(j(X, \dots), j(Y, \dots)) \subseteq \text{Set}(X, Y)$

internalises the preservation condition

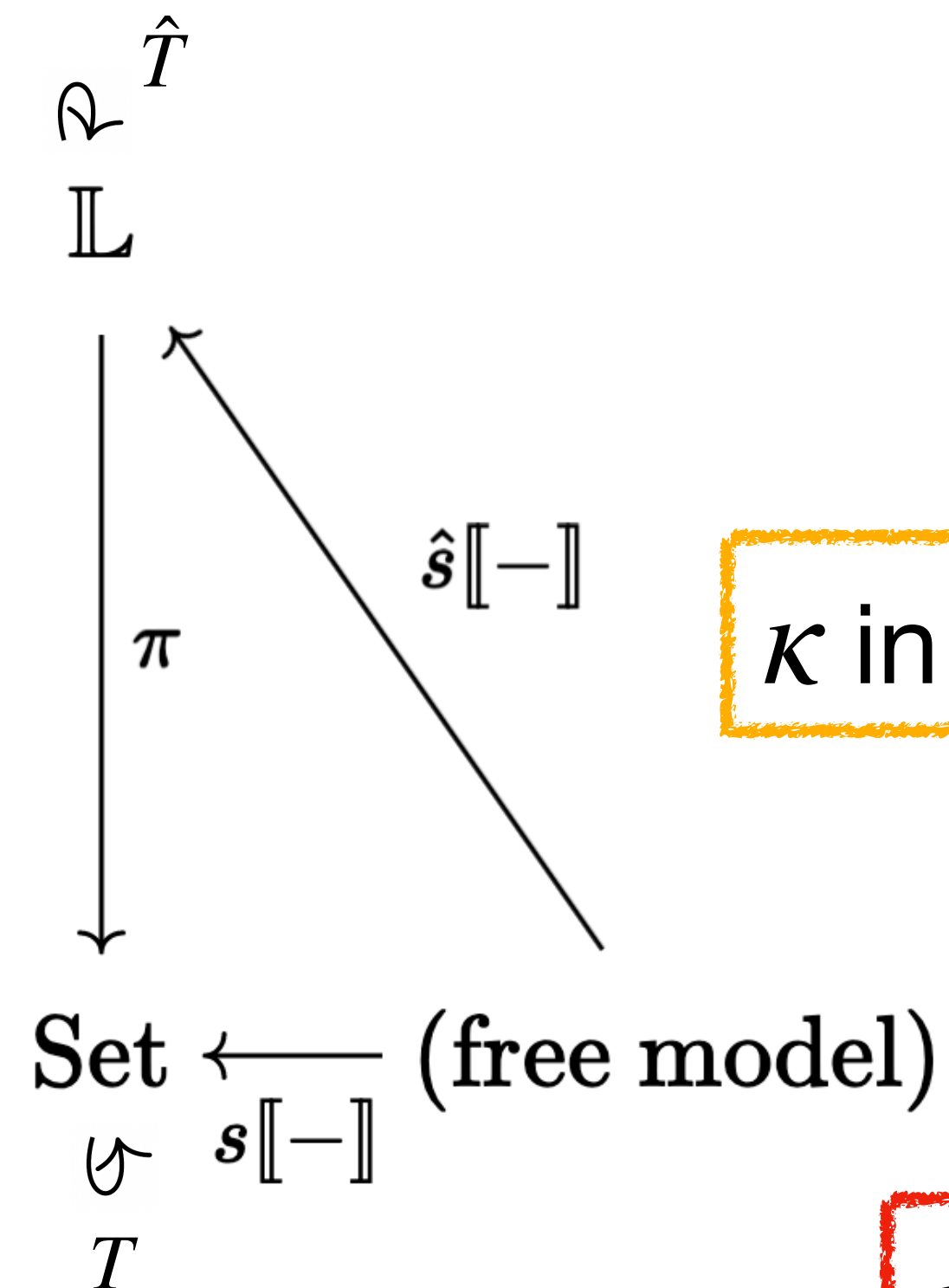
$\kappa$  cannot be in function space!

# Summing up

$\text{Set} \xleftarrow[\mathcal{U}]{s[-]}_T$  (free model)

$\kappa$  in hom-sets and function spaces

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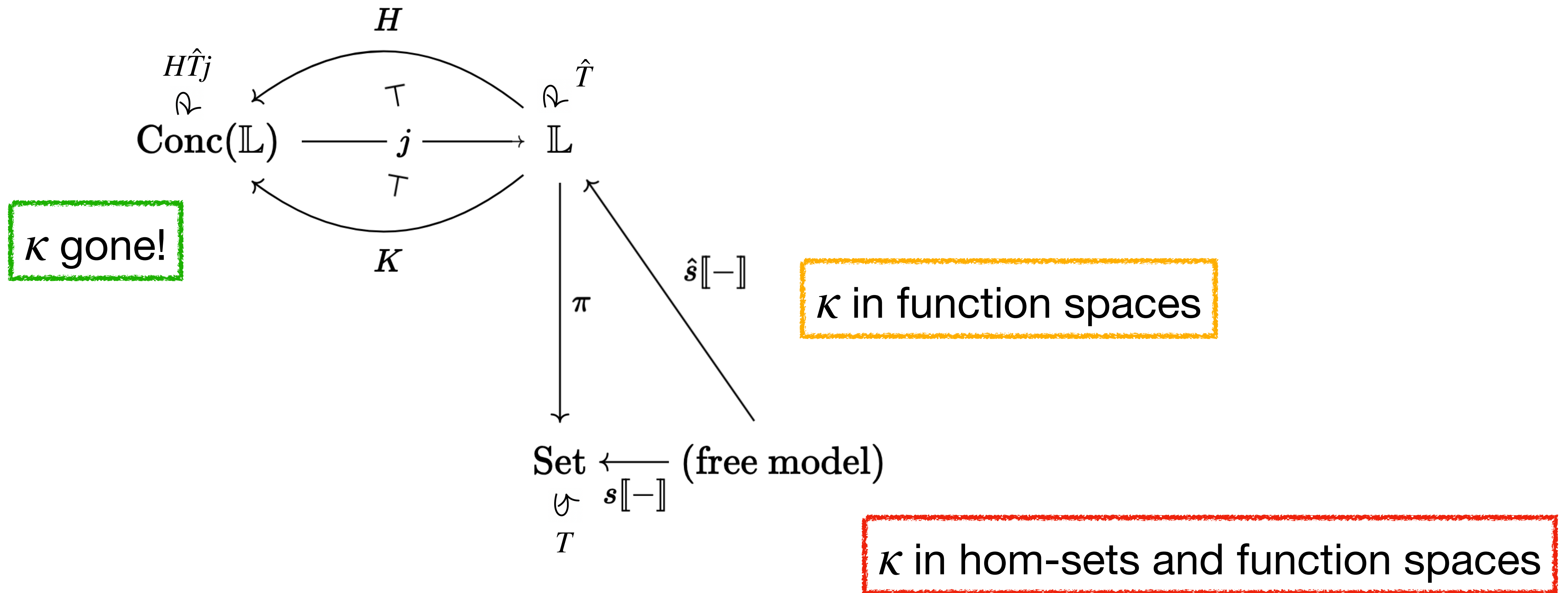


$\kappa$  in function spaces

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# Summing up





# Abstracting away: categories of concrete relations

idea:

1. axiomatise relations by **fibrations**
2. ccc-structure via structured fibrations
3. monad defined using fibration
4. restrict to concrete objects

# Abstracting away: categories of relations

1. axiomatise relations by **fibrations**

$$\begin{array}{ccc} & \mathbb{E} & \\ & \downarrow p & \text{fibration} \\ \mathcal{M} & \xrightarrow{F} & \mathbb{B} \end{array}$$

‘change-of-base’

# Abstracting away: categories of relations

## 1. axiomatise relations by fibrations

objects:  $(X, R) \in \mathcal{M} \times \mathbb{E}$  such that  $FX = pR$   
maps:  $(f, \hat{f})$  in  $\mathcal{M} \times \mathbb{E}$  such that  $Ff = p(\hat{f})$

$$\begin{array}{ccc} \mathbb{K} & \longrightarrow & \mathbb{E} \\ \pi \downarrow & \lrcorner & \downarrow p \\ \mathcal{M} & \xrightarrow{F} & \mathbb{B} \end{array} \quad \text{fibration}$$

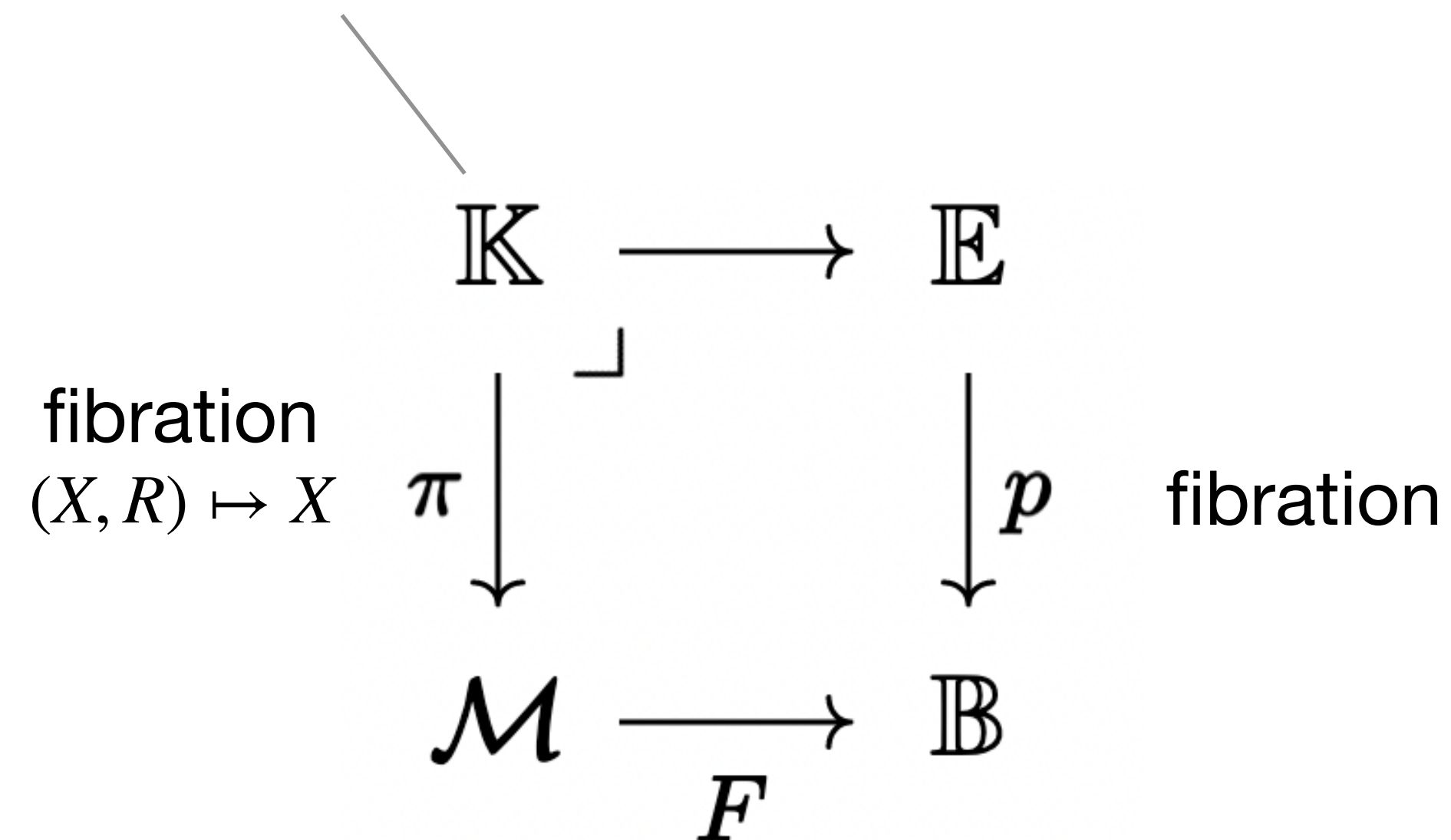
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‘change-of-base’

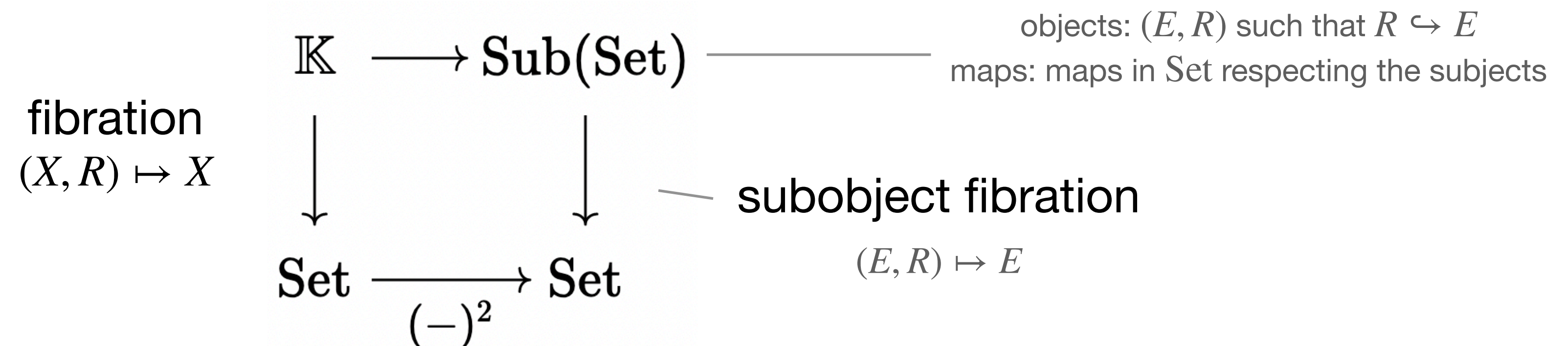
# Abstracting away: categories of relations

## 1. axiomatise relations by fibrations

objects:  $(X, R) \in \mathbf{Set} \times \mathbf{Sub}(\mathbf{Set})$  such that  $R \hookrightarrow X^2$

maps:  $f$  in  $\mathbf{Set}$  s.t.  $f$  preserves the subobject

eg



‘change-of-base’

# Abstracting away: categories of relations

**idea:**

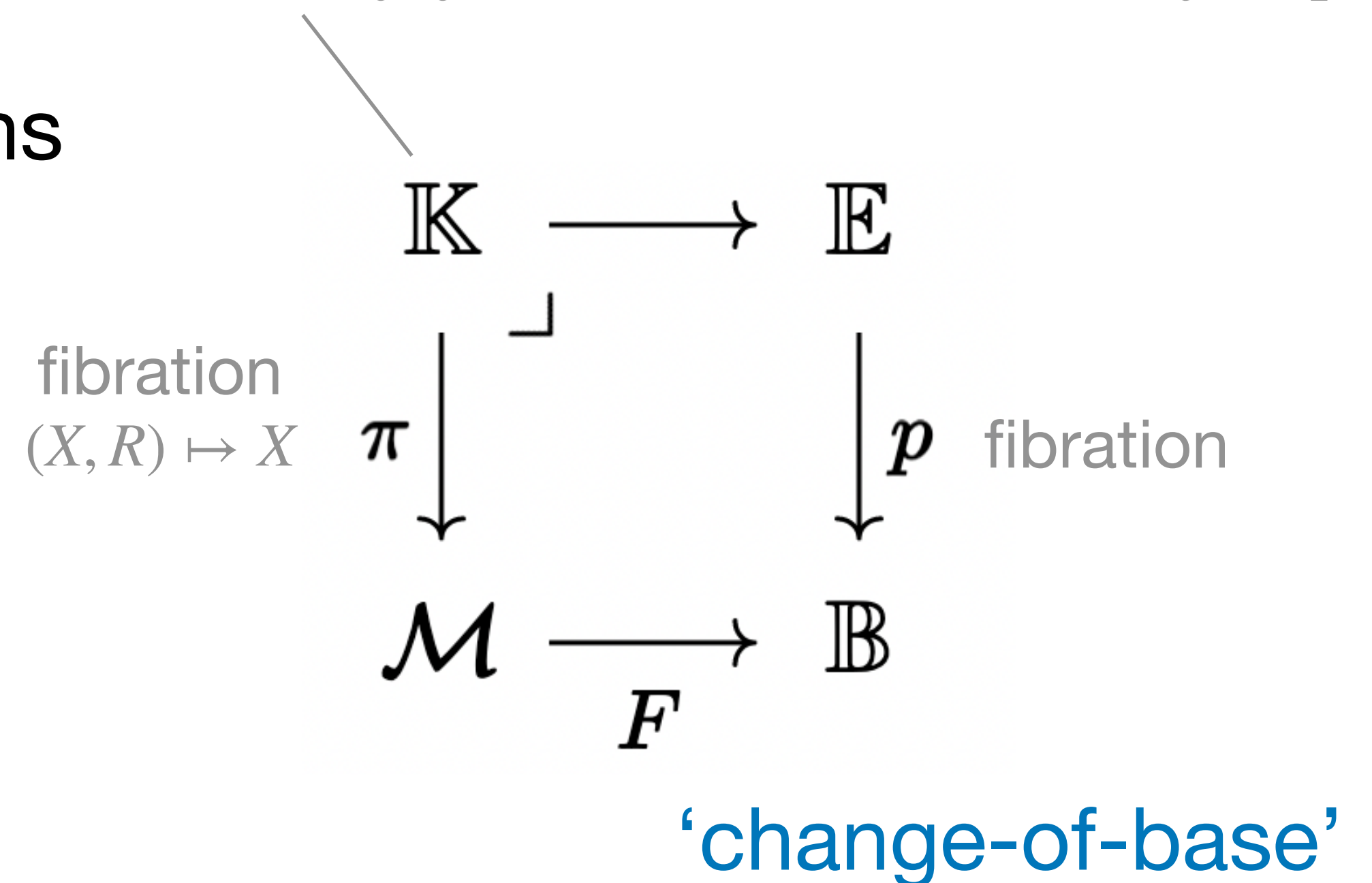
1. axiomatise relations by fibrations
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objects:  $(X, R) \in \mathcal{M} \times \mathbb{E}$  such that  $FX = pR$   
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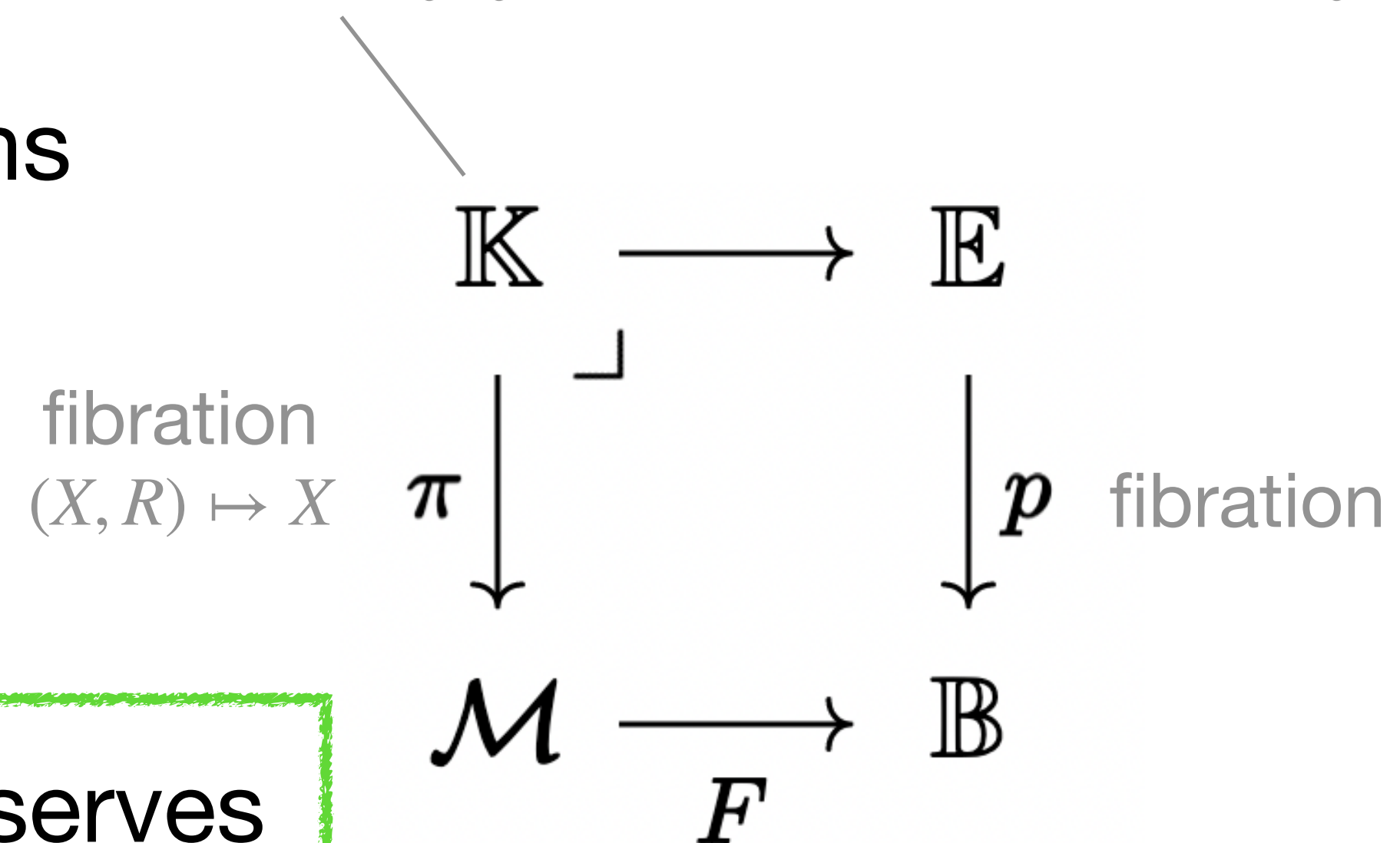


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**Fact:** if  $\mathbb{E}$ ,  $\mathbb{B}$  and  $\mathcal{M}$  are CCCs,  $p$  strictly preserves CCC-structure, and  $F$  is cartesian, then:

$\mathbb{K}$  is a CCC, and  $\pi$  strictly preserves CCC-structure

‘change-of-base’



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  2. ccc-structure via structured fibrations
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- eg.  $\mathbb{T}\mathbb{T}$ -lifting, free lifting, ...

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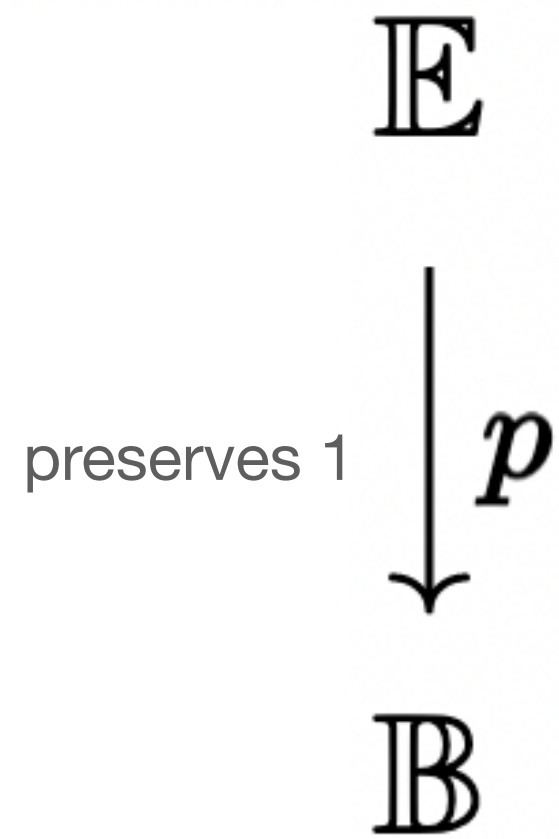
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# Abstracting away: concreteness

$(X, R_0, R_1)$  is concrete if every  $x : 1 \rightarrow X$  in Set lifts to  $(1, \top, \top) \rightarrow (X, R_0, R_1)$

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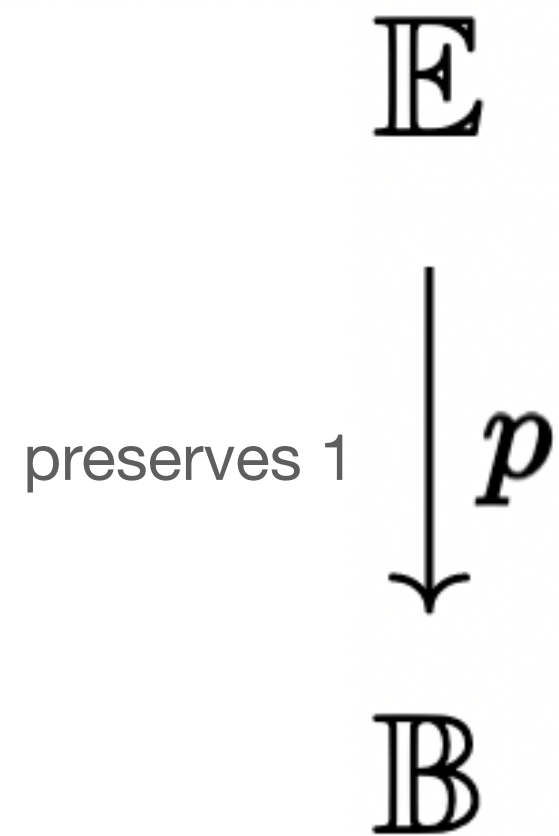
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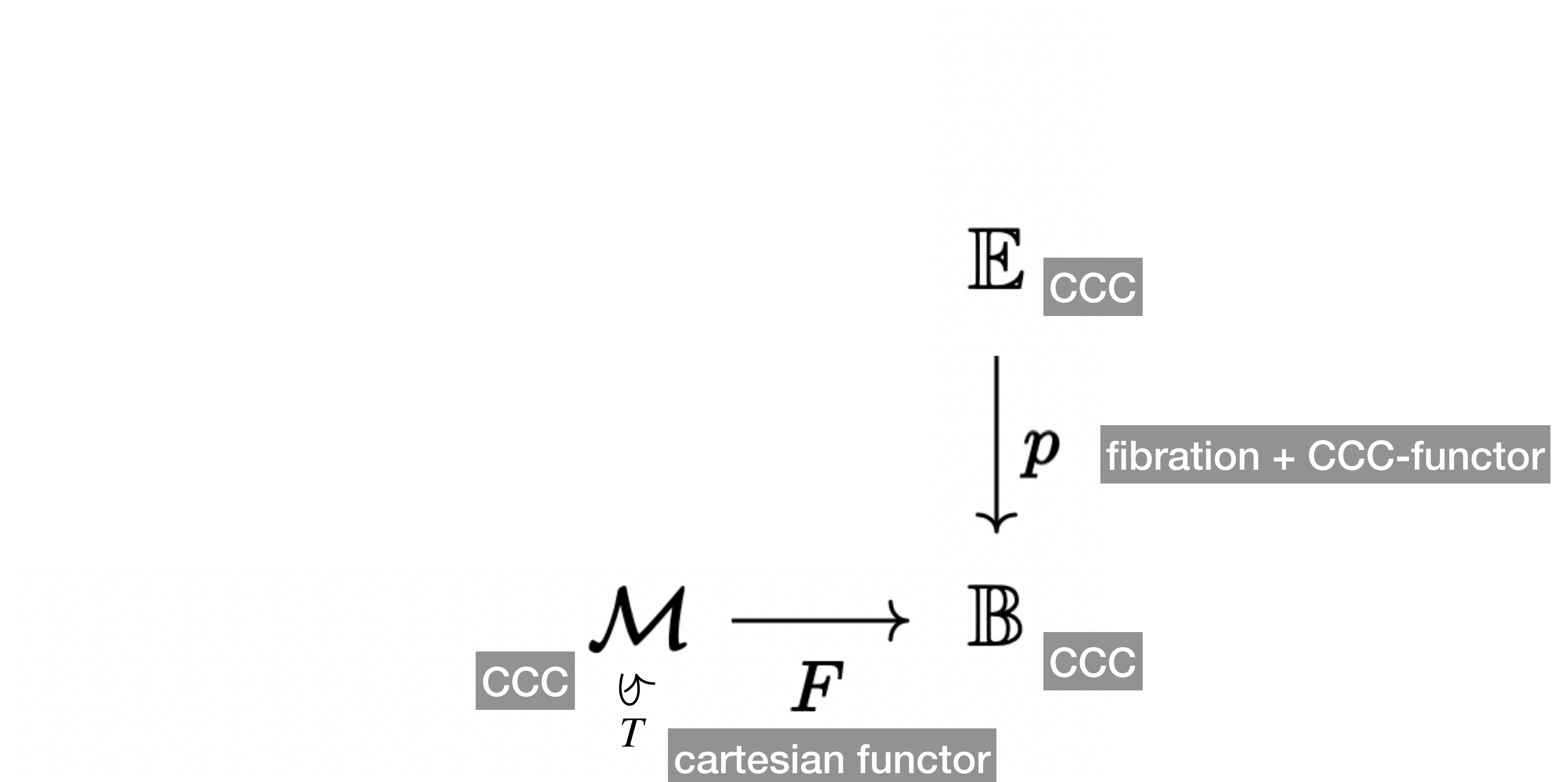
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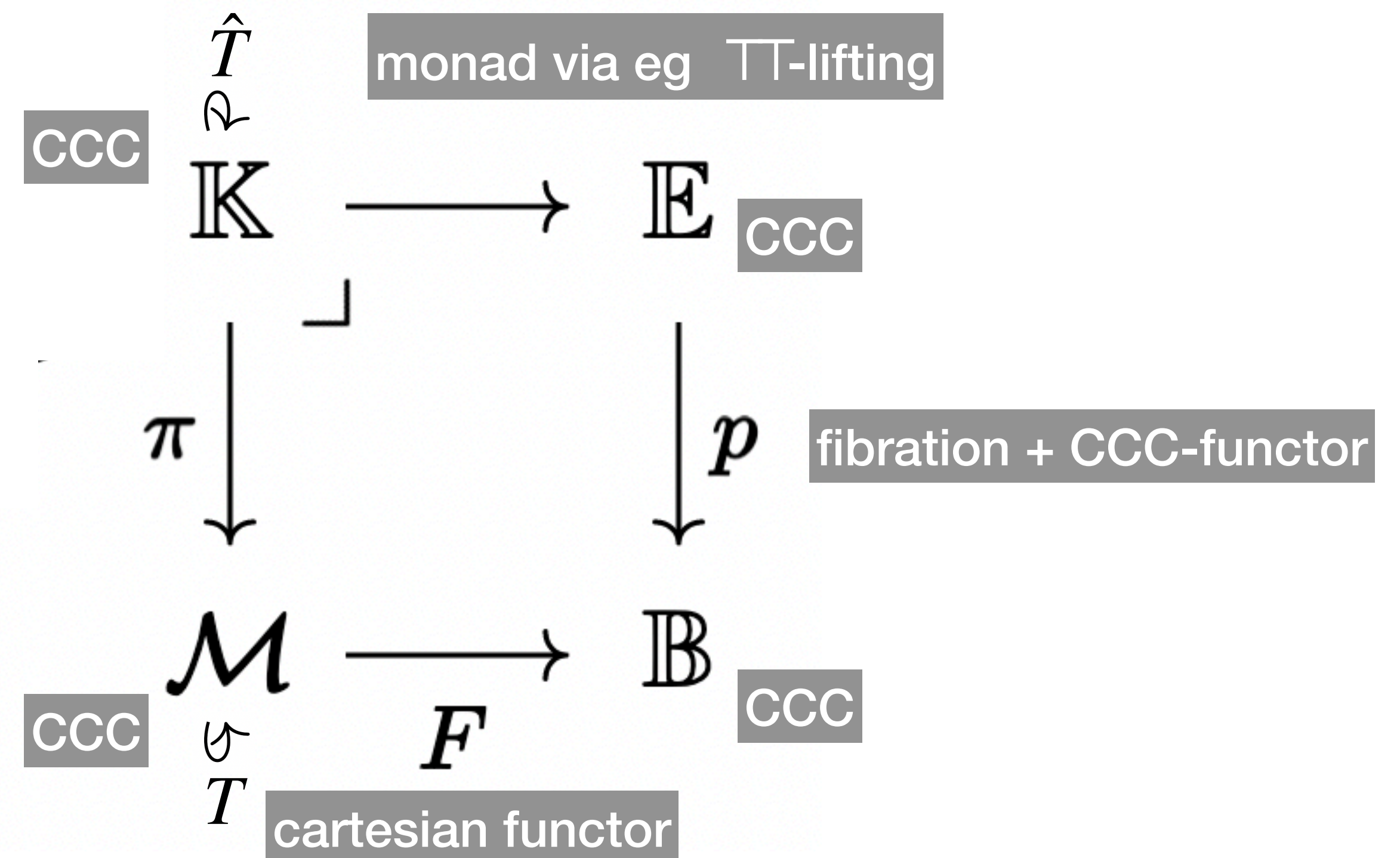
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get a subcategory  $\mathbf{Conc}(\mathbb{E}) \hookrightarrow \mathbb{E}$

# Categories of concrete relations (for nice enough $\mathcal{M}$ )

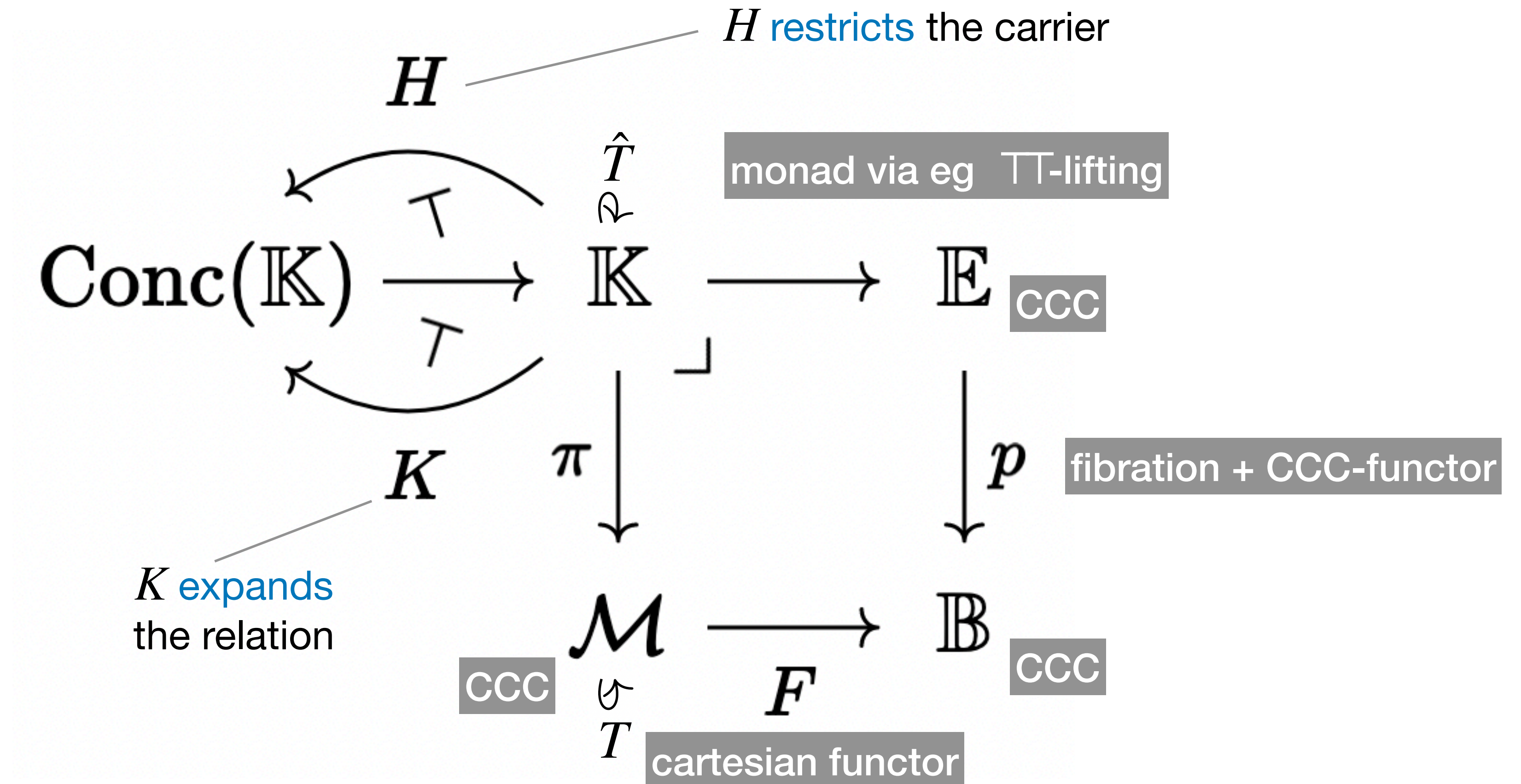


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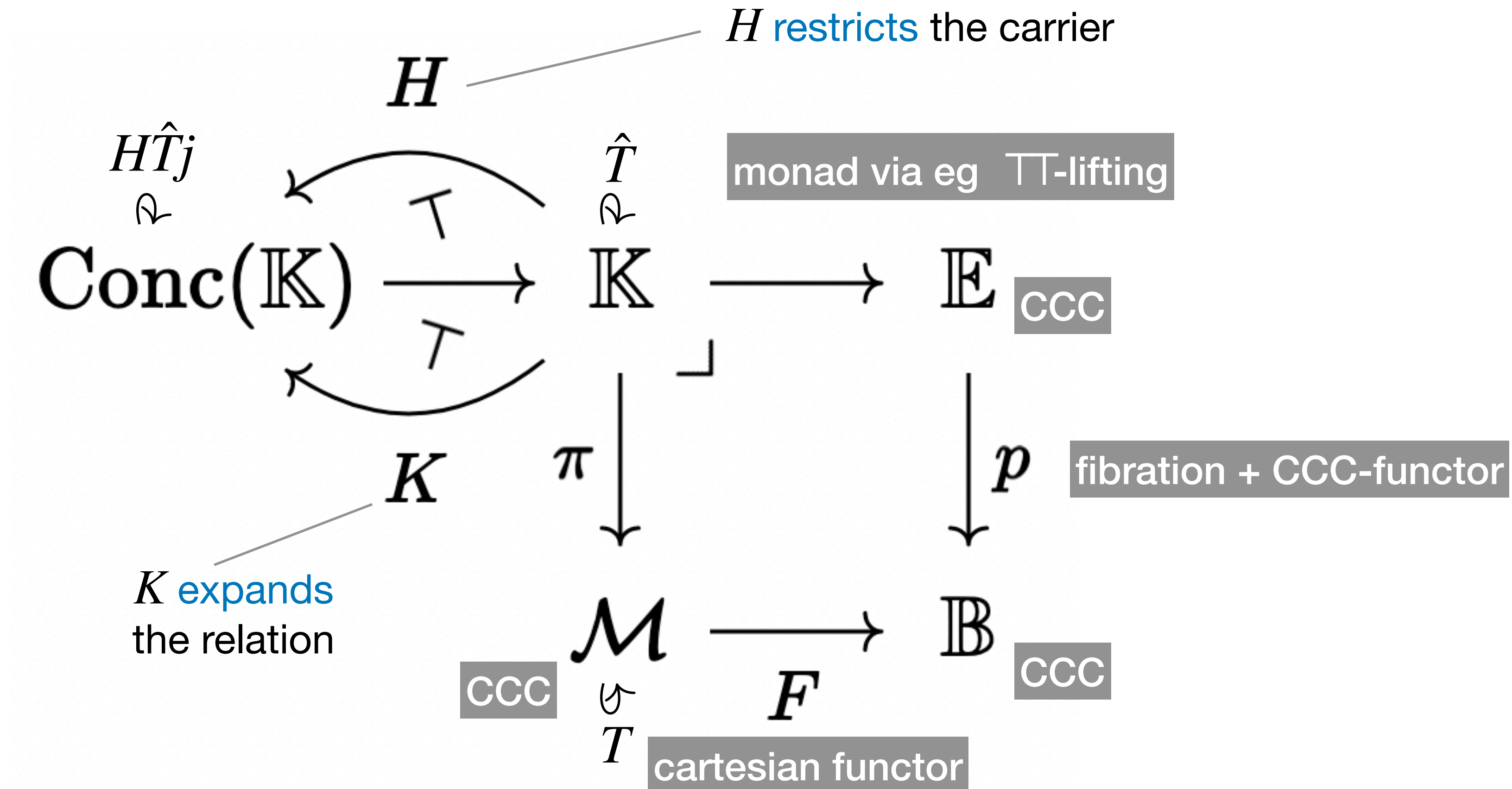


# Categories of concrete relations (for nice enough $\mathcal{M}$ )

monad by  
abstract  
nonsense

ccc by  
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nonsense:

$$[X \Rightarrow Y]_{\text{Conc}} = H(jX \Rightarrow jY)$$



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$H$  restricts the carrier

$H\hat{T}j$

$\mathsf{Conc}(\mathbb{K}) \xrightarrow{T} \mathbb{K}$

$\hat{T}$

monad via eg  $\mathbb{T}$ -lifting

$\mathbb{E}$  CCC

$\pi$

$\mathcal{M}$  CCC

$F$

$\mathbb{B}$  CCC

$T$  cartesian functor

$p$  fibration + CCC-functor

$K$  expands the relation

key property:  $[X \Rightarrow Y]_{\text{Conc}} \cong \mathbb{L}(jX, jY)$

internalises the preservation condition



# Summing up: categories of concrete relations

## Motivation

**Aim:** restrict the maps in a semantic model to those satisfying some property

starting model



model with only  
maps satisfying  
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in fact, encodes  
preservation of a  
**logical** relation

# **2: Logical relations**



# What is a logical relation?

the classical story:  
Plotkin + many others

logical relation  $R$  =

A family of relations  $\{R_\sigma \mid \sigma \in \text{Type}\}$   
such that:

- (1)  $R_\sigma$  is a relation on  $\llbracket \sigma \rrbracket$
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Basic Lemma =  $(M : \sigma) \implies \llbracket M \rrbracket \in R_\sigma$

useful for relating models, or proving facts about models

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Basic Lemma\* =  $f$  is definable  $\iff f$  'satisfies' every logical relation

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(the classical story)

$R_\sigma \subseteq \llbracket \sigma \rrbracket^n$  for each type  $\sigma$ , and

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- products:  $R_{\sigma_1 \times \sigma_2} = R_{\sigma_1} \star R_{\sigma_2}$   
 $((x_1, y_1), \dots, (x_n, y_n)) \in (R \star S) \iff (x_1, \dots, x_n) \in R \text{ and } (y_1, \dots, y_n) \in S$

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what's a principled extension to monadic structure?



# What is a logical relation? (Hermida, Jacobs, ...)

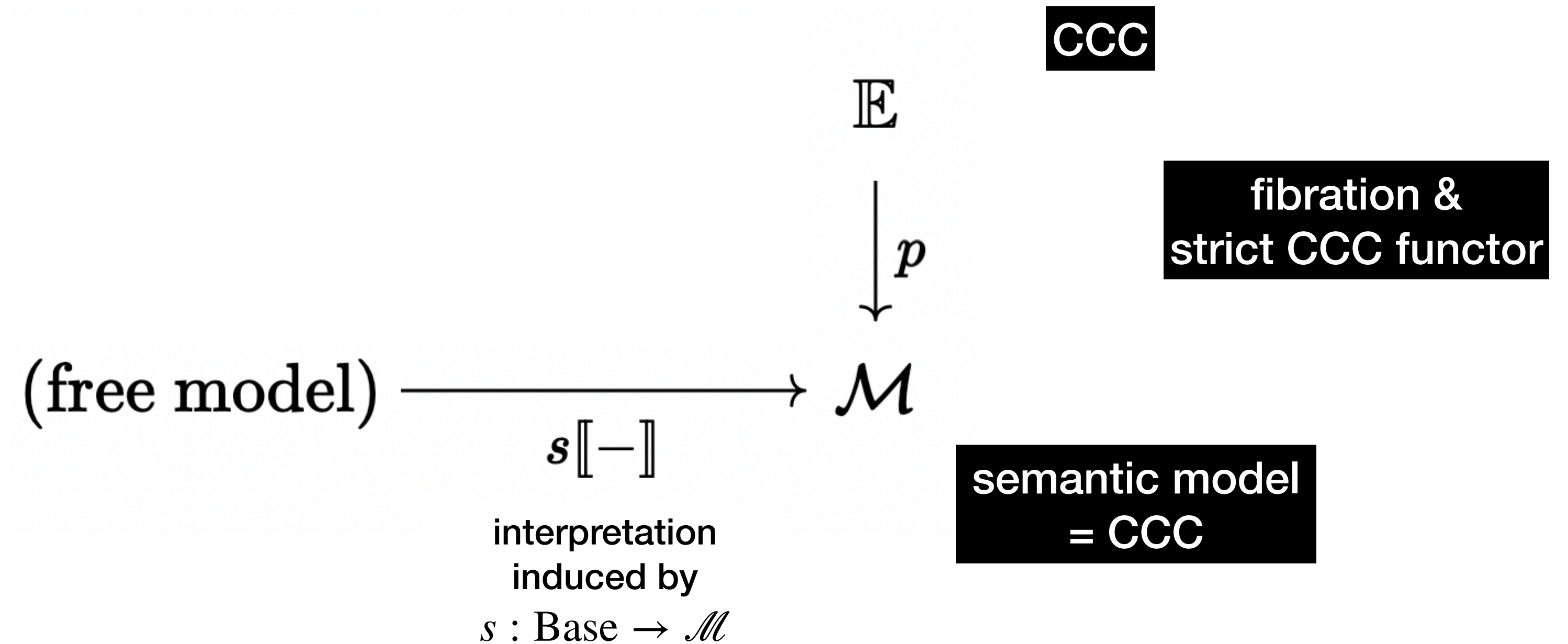
logical relation

$$\begin{array}{ccc} \text{(free model)} & \xrightarrow{\quad s \llbracket - \rrbracket \quad} & \mathcal{M} \\ & \text{interpretation} & \\ & \text{induced by} & \\ & s : \text{Base} \rightarrow \mathcal{M} & \end{array}$$

**semantic model  
= CCC**

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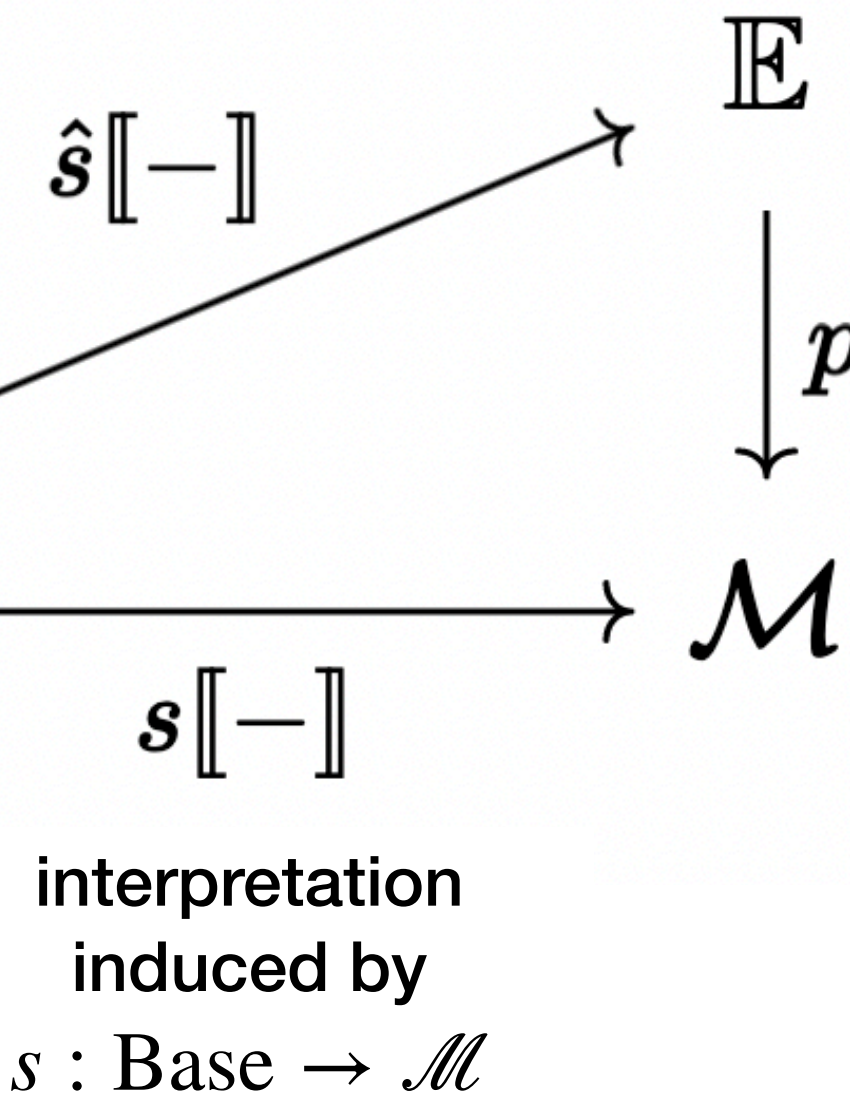


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semantic interpretation

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$s[-]$

$\mathcal{M}$

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 $s : \text{Base} \rightarrow \mathcal{M}$

$$p(\hat{s}[\sigma]) = s[\sigma] \text{ for all types } \sigma$$

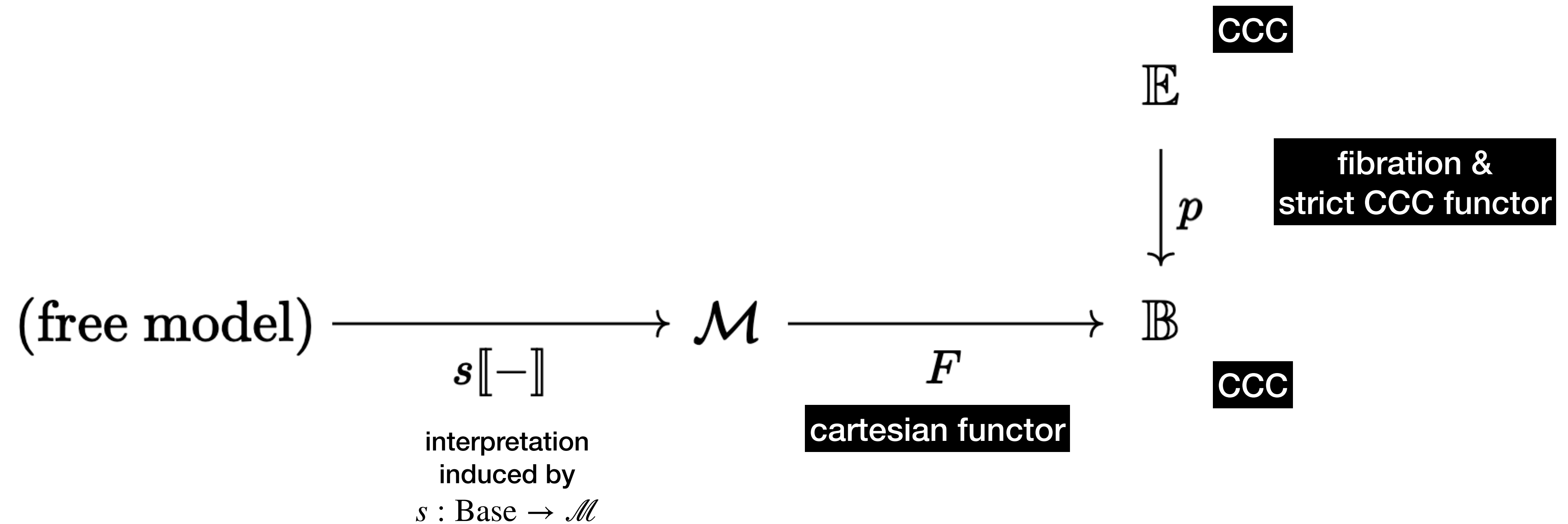
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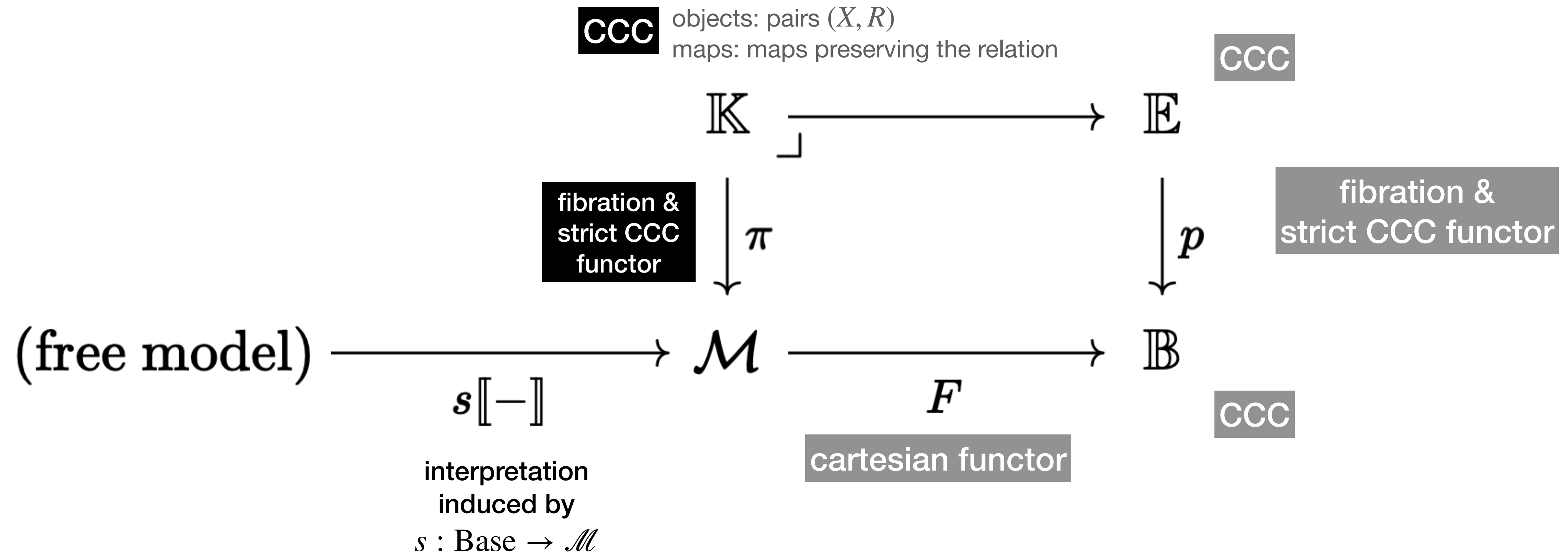
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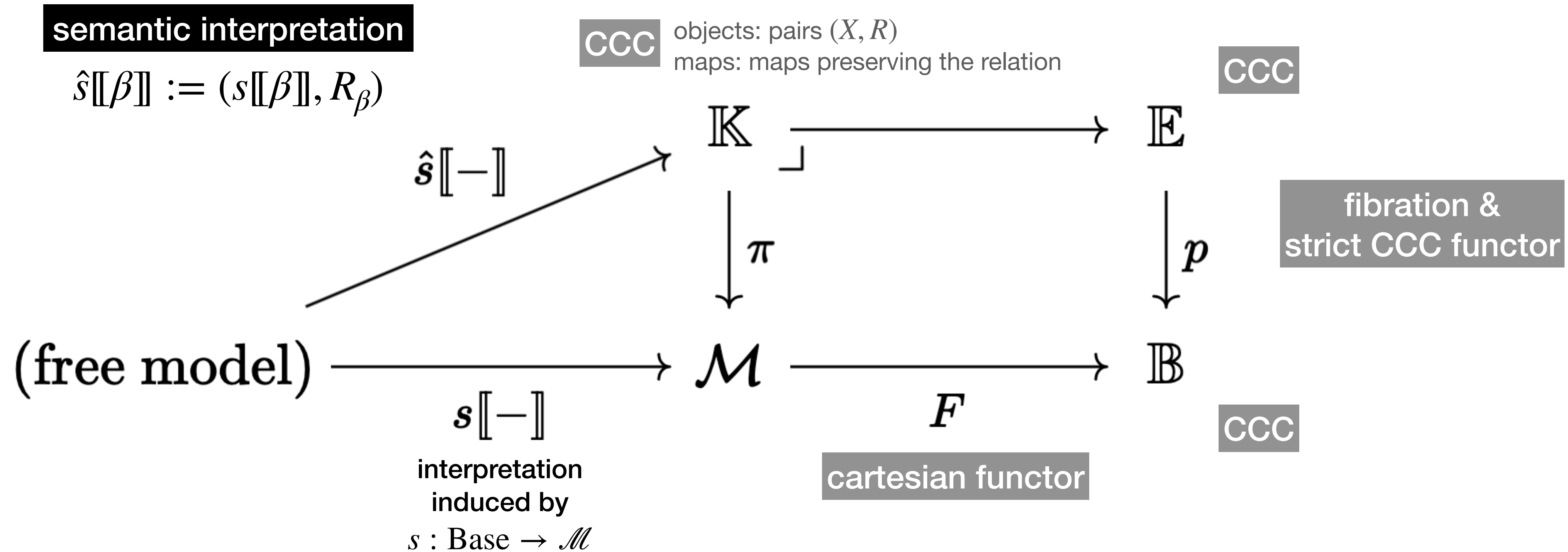
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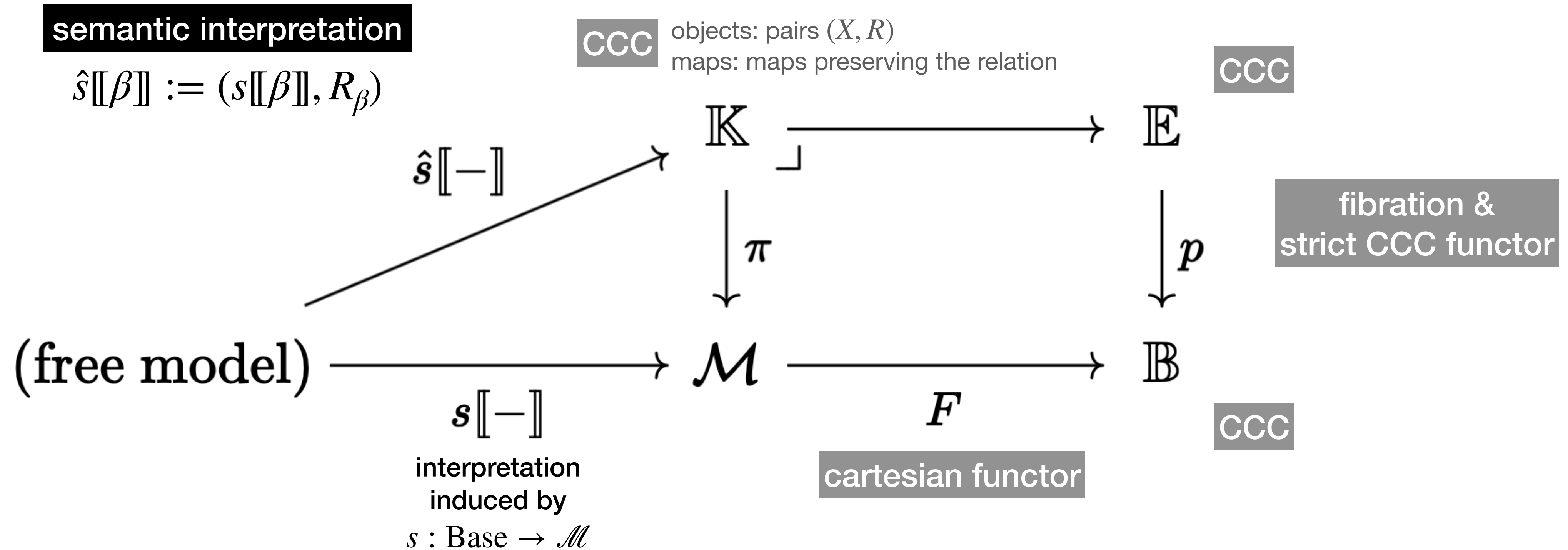
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# Logical relations for simply-typed lambda calculus

Some examples:

- $\text{SN}_\sigma = \{ \llbracket M \rrbracket \mid M : \sigma \text{ is strongly normalising} \}$
- $\text{Eq}_\sigma(\Gamma) = \{ (\llbracket M \rrbracket, \llbracket M' \rrbracket) \mid \Gamma \vdash M \simeq M' : \sigma \}$
- $\text{Def}_\sigma(\Gamma) = \{ \llbracket M \rrbracket \mid \Gamma \vdash M : \sigma \}$

for a 'suitable'  
equational theory

note the parametrisation  
by contexts

# Kripke relations of varying arity

(for a CCC  $\mathcal{M}$ )

$$\text{Def}_\sigma(\Gamma) = \{ \llbracket M \rrbracket \mid \Gamma \vdash M : \sigma \} \subseteq \mathcal{M}(\llbracket \Gamma \rrbracket, \llbracket \sigma \rrbracket)$$

satisfies monotonicity:

$$\llbracket M \rrbracket \in \text{Def}_\sigma(\Gamma) \text{ and } \Gamma \subseteq \Delta \implies \llbracket M^{\text{wkn}} \rrbracket \in \text{Def}_\sigma(\Delta)$$

$\text{Def}_\sigma$  is a presheaf over a category of contexts

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A Kripke relation of varying arity on  $X \in \mathcal{M}$

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$$R(\Gamma) \subseteq \mathcal{M}(\llbracket \Gamma \rrbracket, X)$$

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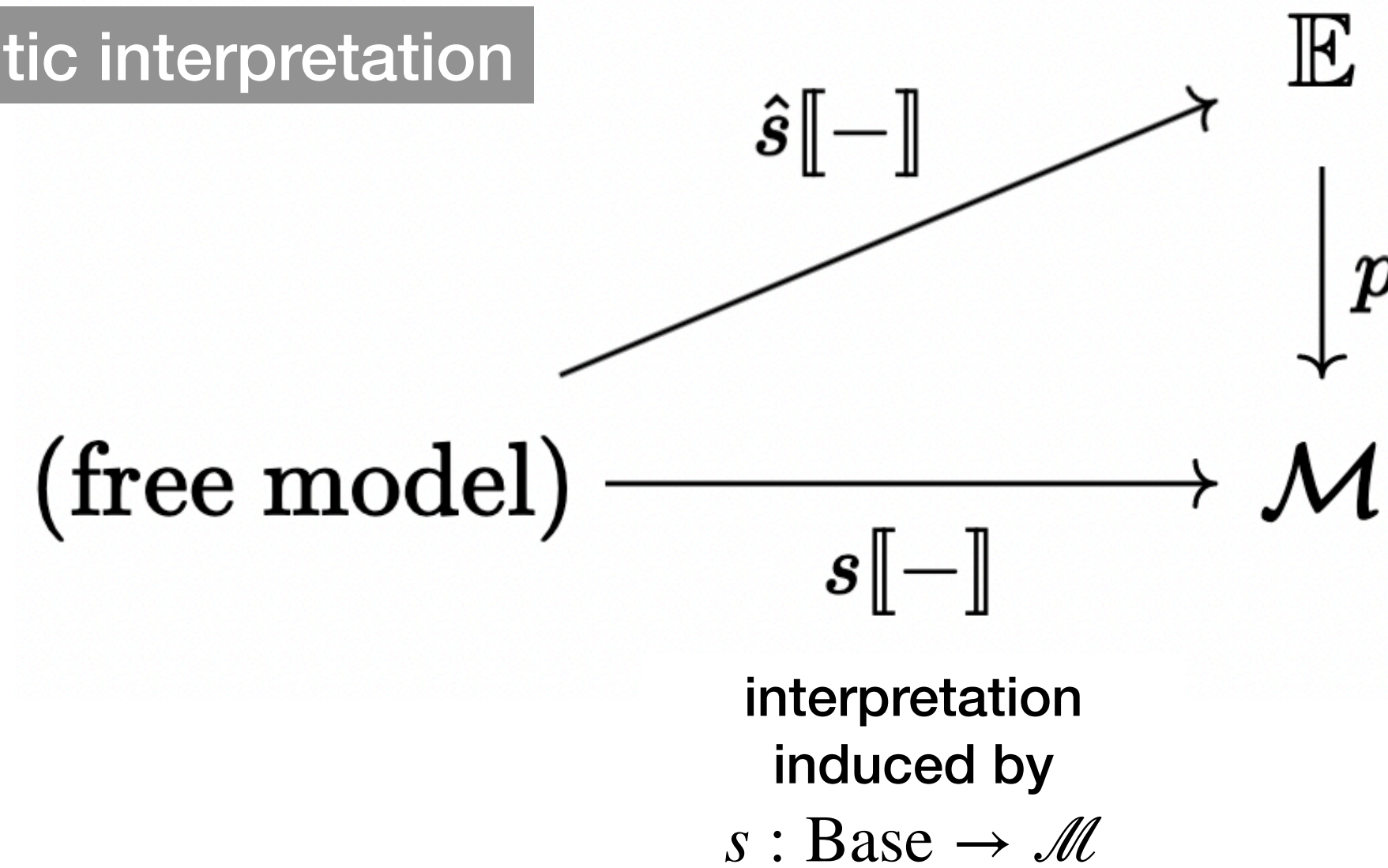
$$\begin{array}{ccc} \text{Krip} & \xrightarrow{\quad} & \text{Sub}(\widehat{\text{Con}}) \\ \pi \downarrow & & \downarrow \text{cod} \\ \mathcal{M} & \xrightarrow{X \mapsto \mathcal{M}(\llbracket - \rrbracket, X)} & \widehat{\text{Con}} \end{array}$$



# What is a logical relation? (Hermida, Jacobs, ...)

logical relation

semantic interpretation



CCC

fibration &  
strict CCC functor

semantic model  
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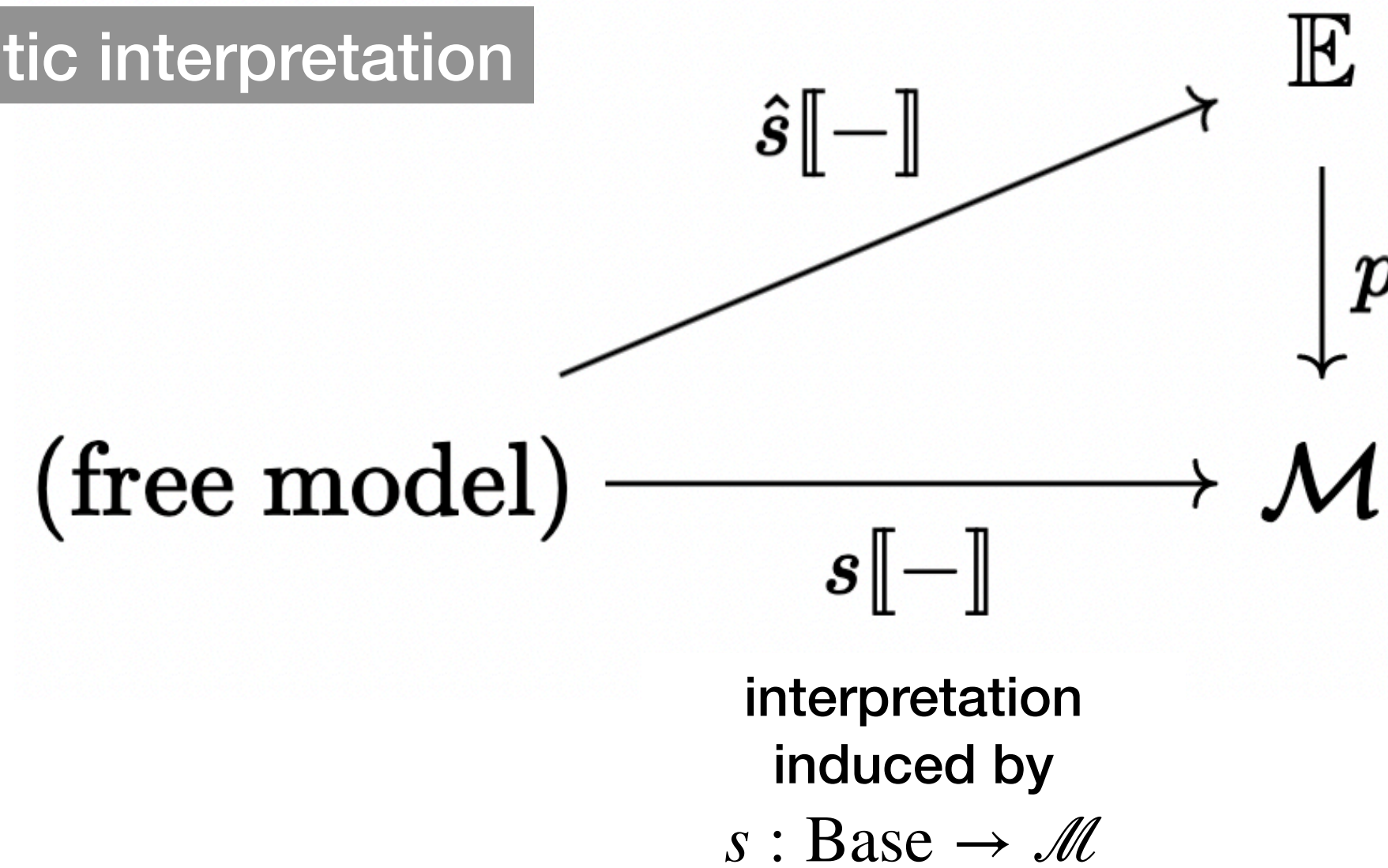
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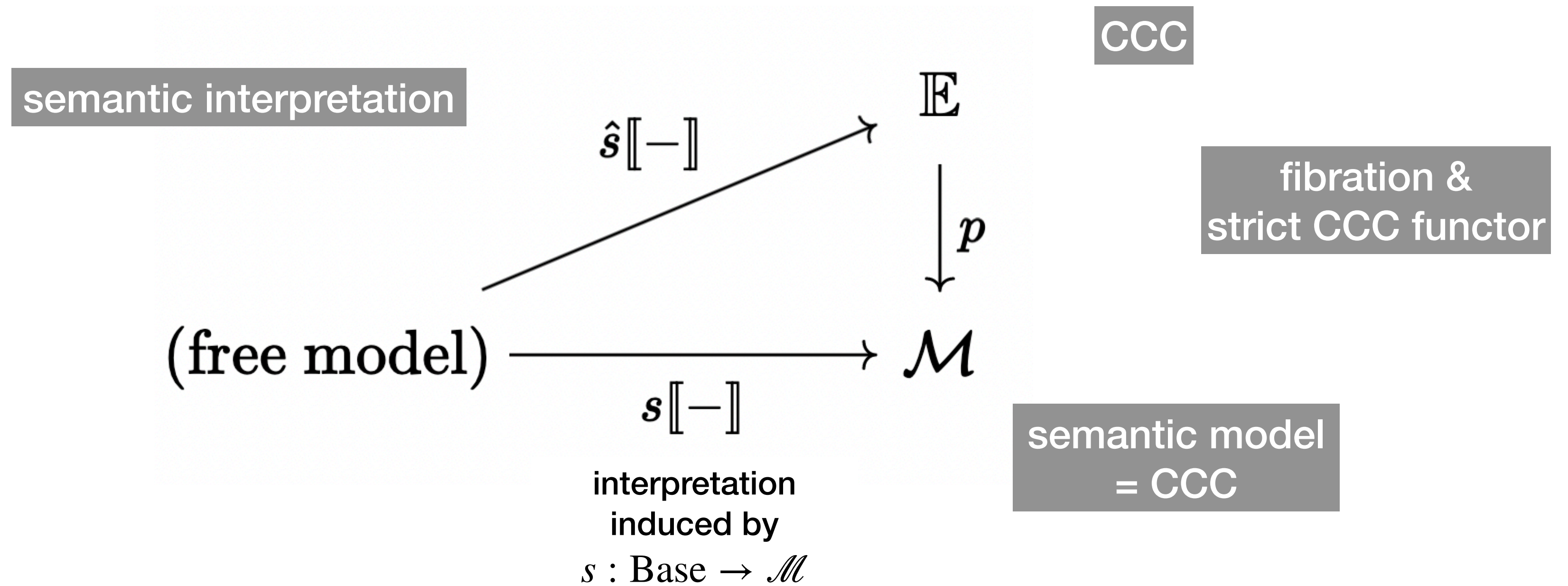
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$f : s[[\Gamma]] \rightarrow s[[\sigma]]$  satisfies  $R$   $\iff$   $f$  lifts to a map in  $\mathbb{E}$   
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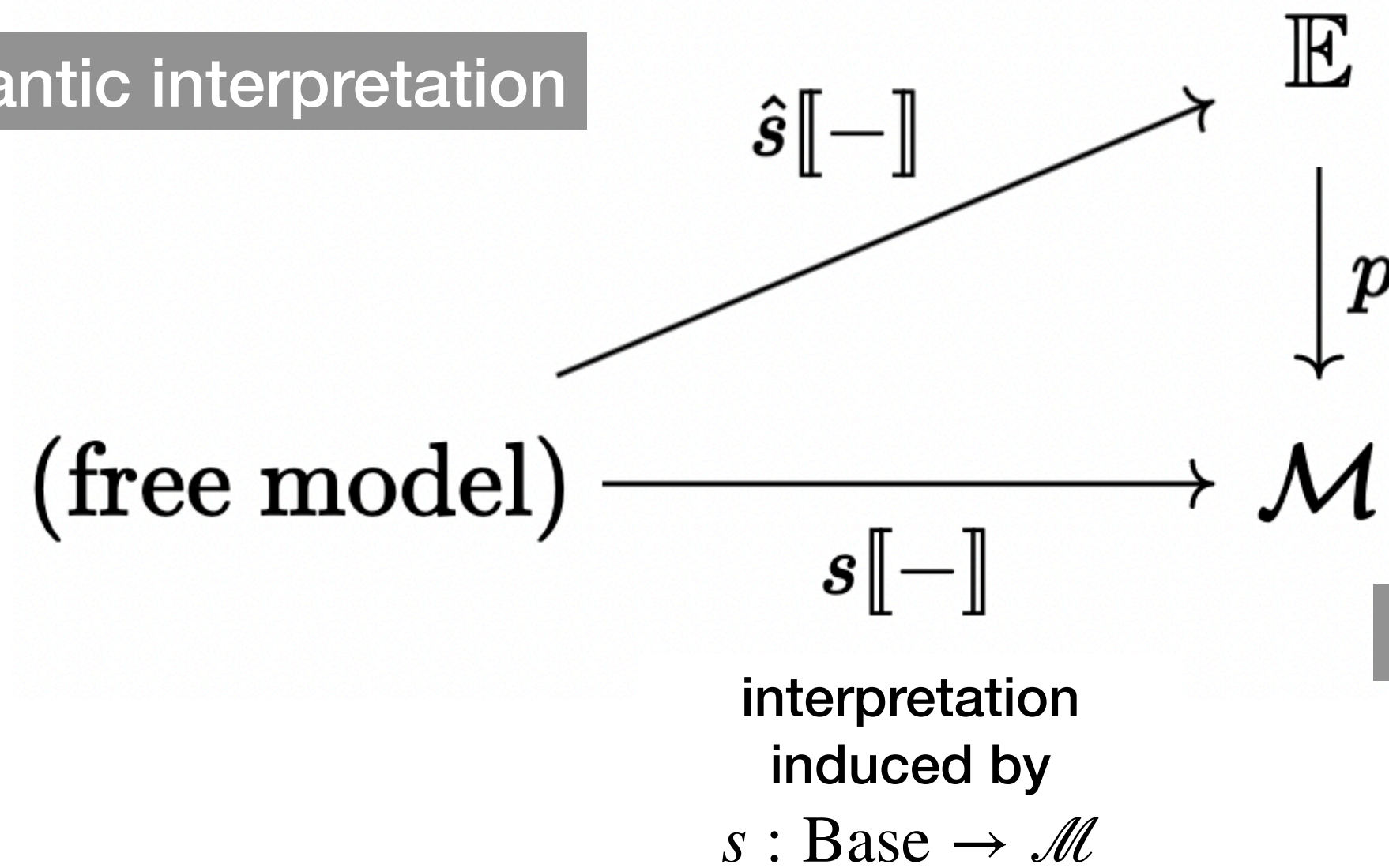
$f$  is definable  $\implies f$  satisfies  $R$

**Proof:**  $f = s[[M]] \implies f = p(\hat{s}[[M]])$

# What is a logical relation? (a 2-categorical perspective — WIP)

logical relation

semantic interpretation



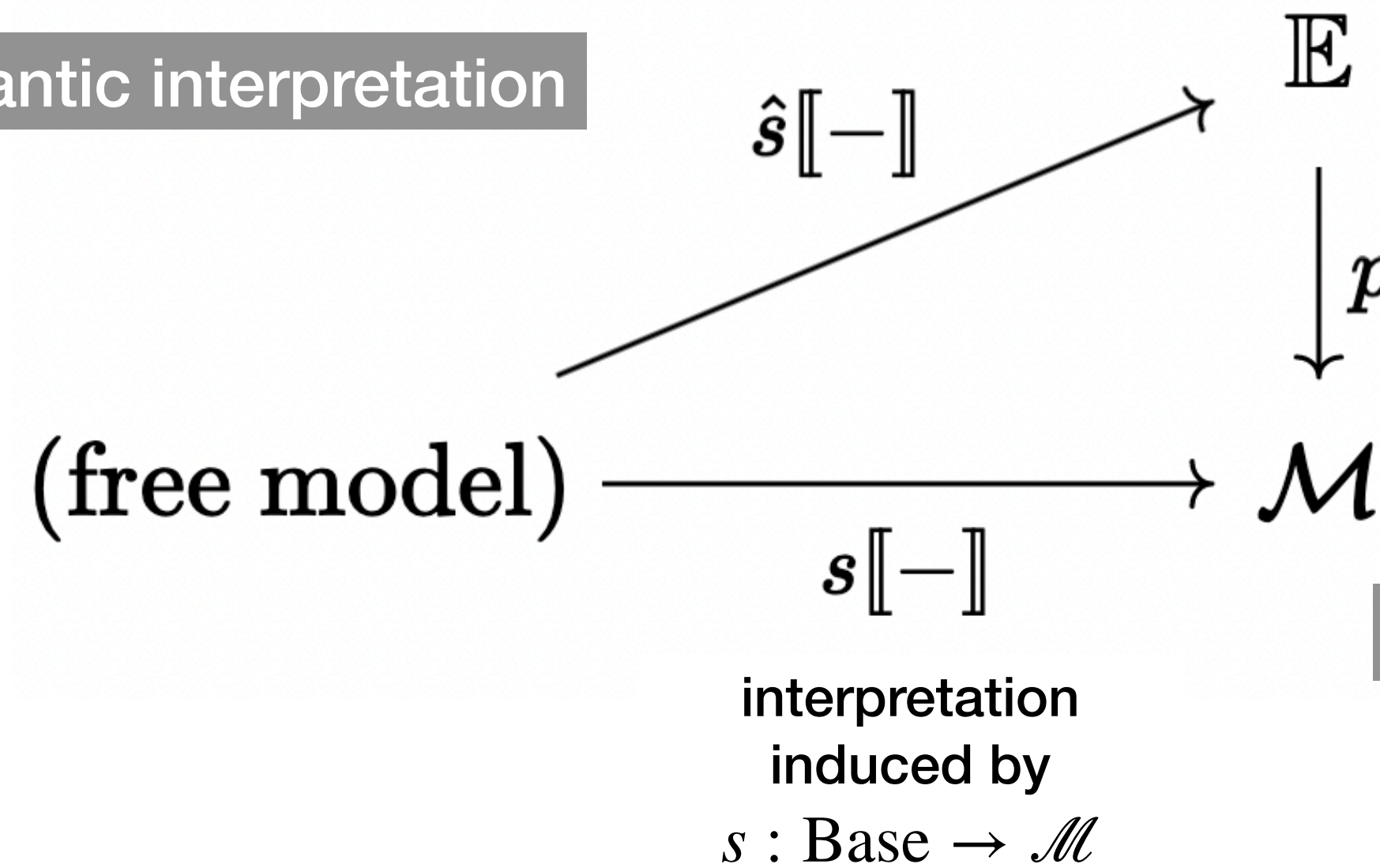
internal fibration in  
2-category of CCCs  
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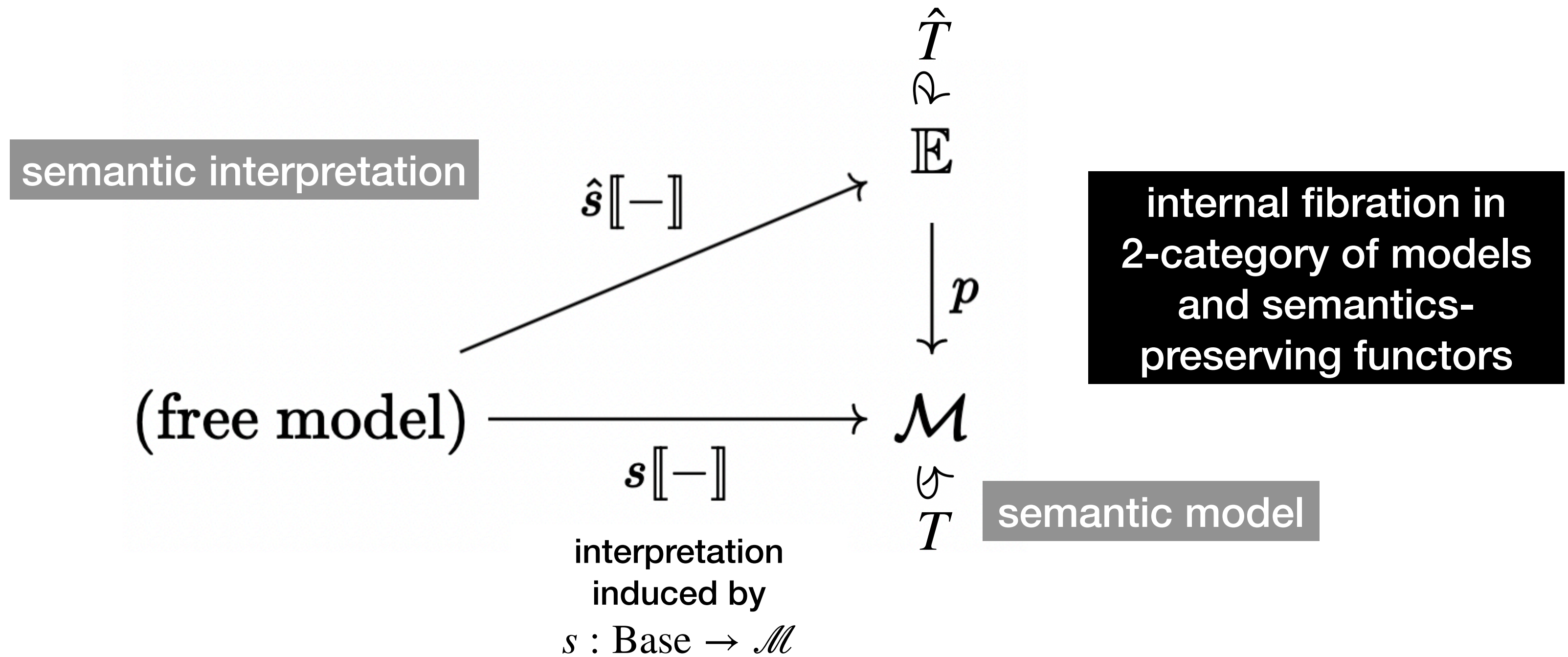
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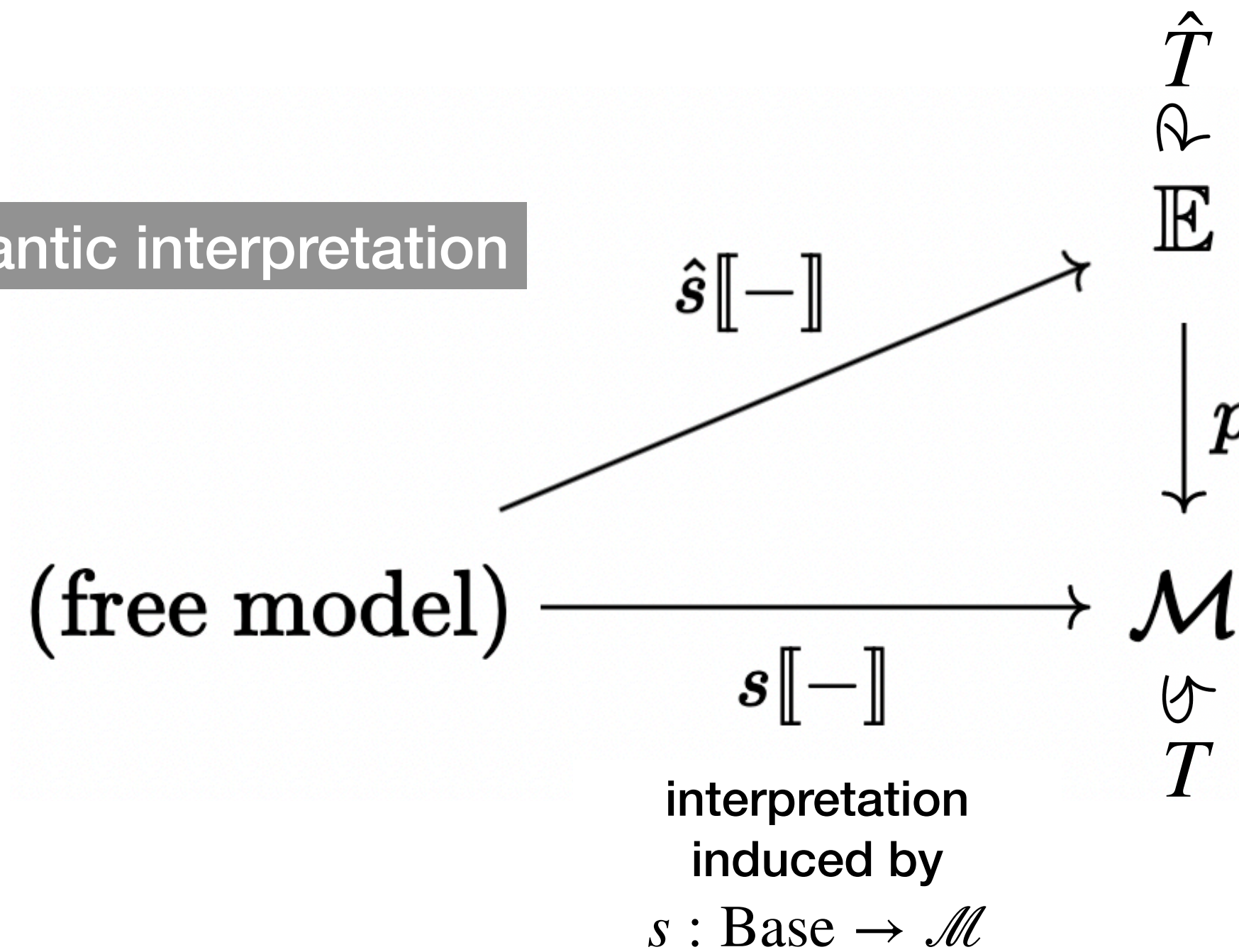
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# What is a logical relation for $\lambda_{ml}$ ?

logical relation

semantic interpretation



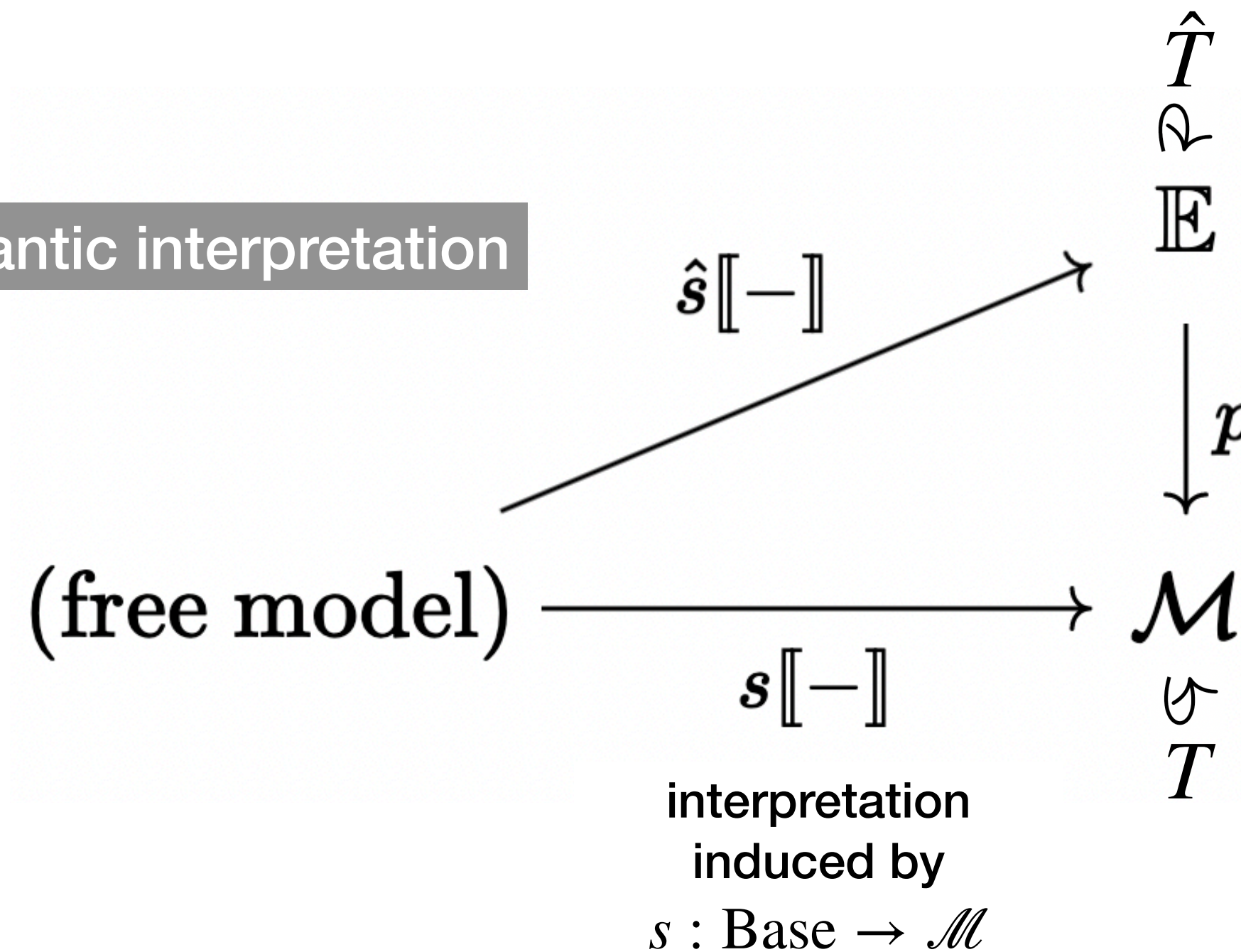
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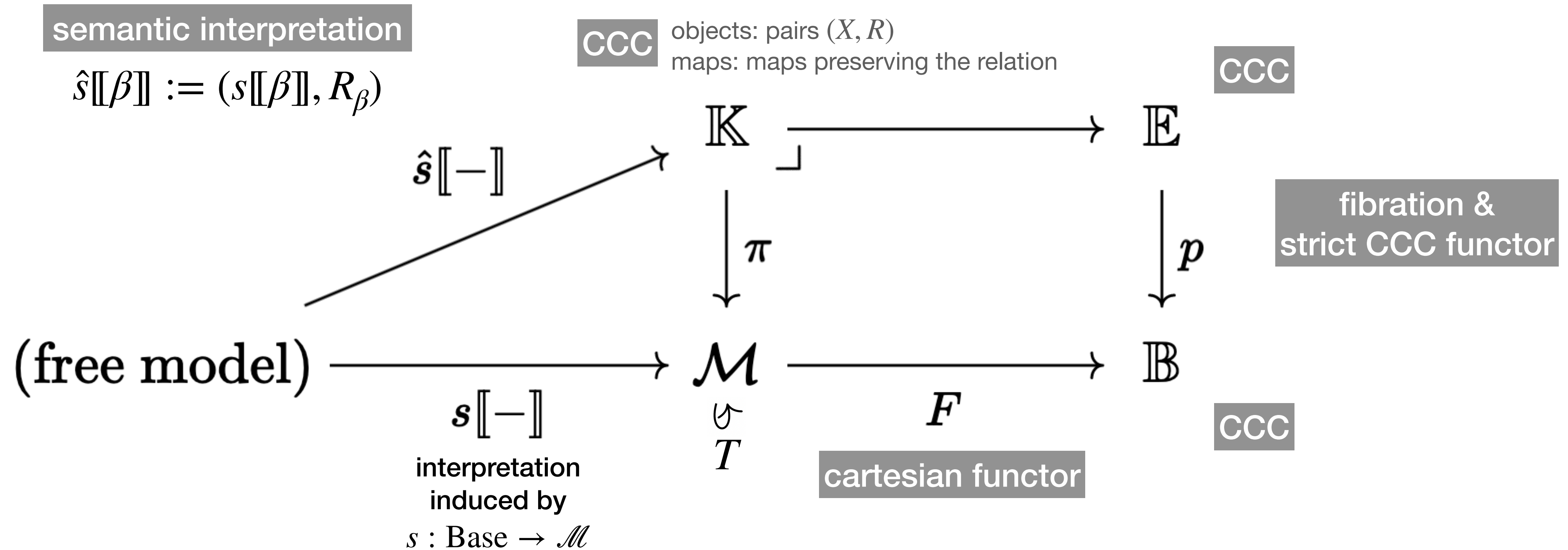
semantic model

logical relation

Fibration  $p$  such that

- $p$  strictly preserves cc-structure
- $p$  commutes with the monads:  $p \circ \hat{T} = T \circ p, \dots$

# What is a logical relation for $\lambda_{ml}$ ?



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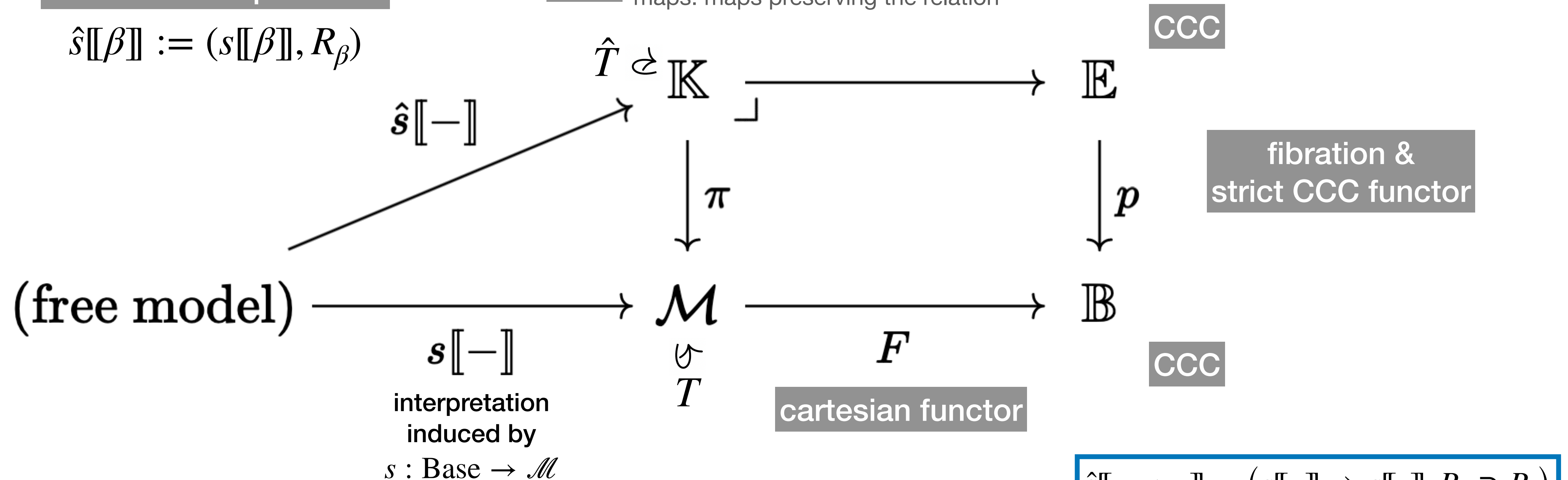
# What is a logical relation for $\lambda_{ml}$ ?

monad defined using fibration eg. TT-lifting, free lifting, ...

semantic interpretation

$$\hat{s}[\![\beta]\!] := (s[\![\beta]\!], R_\beta)$$

CCC objects: pairs  $(X, R)$   
maps: maps preserving the relation





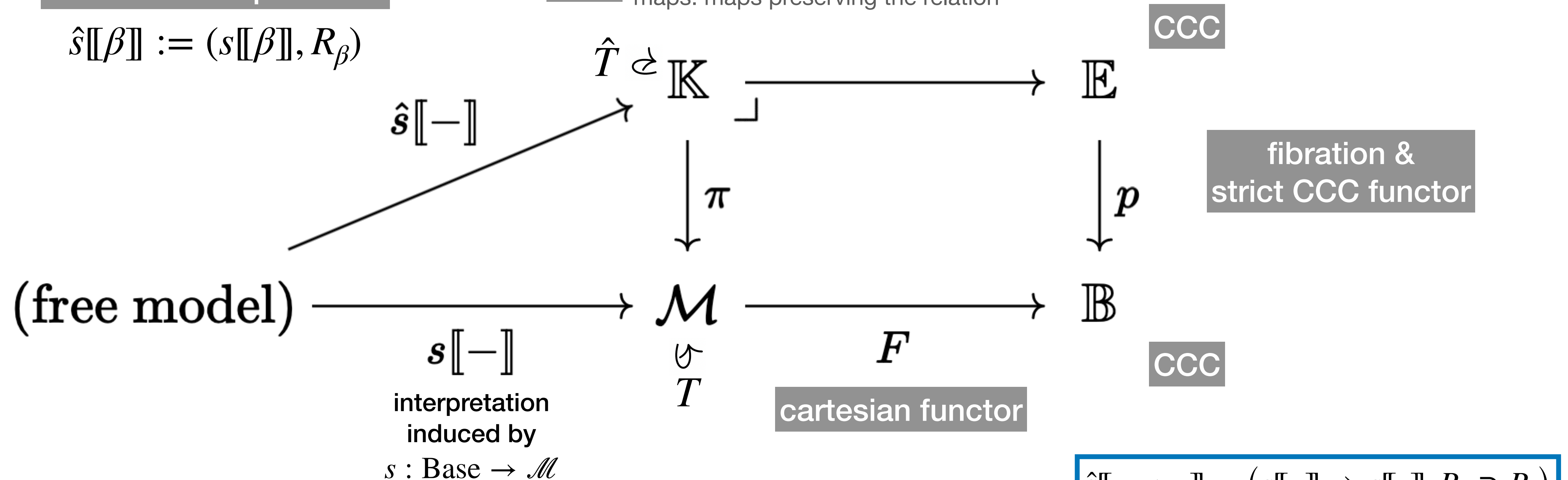
# What is a logical relation for $\lambda_{ml}$ ?

monad defined using fibration eg.  $\mathbb{T}\mathbb{T}$  -lifting, free lifting, ...

semantic interpretation

$$\hat{s}[\![\beta]\!] := (s[\![\beta]\!], R_\beta)$$

CCC objects: pairs  $(X, R)$   
maps: maps preserving the relation



$$\hat{s}[\![\sigma \rightarrow \tau]\!] = (s[\![\sigma]\!] \Rightarrow s[\![\tau]\!], R_\sigma \supset R_\tau)$$

$$\hat{s}[\![\sigma_1 \times \sigma_2]\!] = (s[\![\sigma_1]\!] \times s[\![\sigma_2]\!], R_{\sigma_1} \star R_{\sigma_2})$$

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Some examples:

- $\text{SN}_\sigma = \{ \llbracket M \rrbracket \mid M : \sigma \text{ is strongly normalising} \}$
- $\text{Eq}_\sigma(\Gamma) = \{ (\llbracket M \rrbracket, \llbracket M' \rrbracket) \mid \Gamma \vdash M \simeq M' : \sigma \}$
- $\text{Def}_\sigma(\Gamma) = \{ \llbracket M \rrbracket \mid \Gamma \vdash M : \sigma \}$

for a 'suitable'  
equational theory

note the parametrisation  
by contexts

difficulty = choice of monad  $\hat{T}$

TT-lifting very useful for this (cf. Lindley-Stark, biorthogonality,...)

# What is a logical relation for $\lambda_c$ ?

$$\begin{aligned} s[\![\sigma \rightarrow \tau]\!] &= s[\![\sigma]\!] \Rightarrow T(s[\![\tau]\!]) \\ s[\![\sigma_1 \times \sigma_2]\!] &= s[\![\sigma_1]\!] \times s[\![\sigma_2]\!] \end{aligned}$$

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Restrict to values: for  $R \subseteq (T[\![\sigma]\!])^n$ ,

$$R^{\text{vals}} := \{(x_1, \dots, x_n) \mid (\eta x_1, \dots, \eta x_n) \in R\} \subseteq [\![\sigma]\!]^n$$

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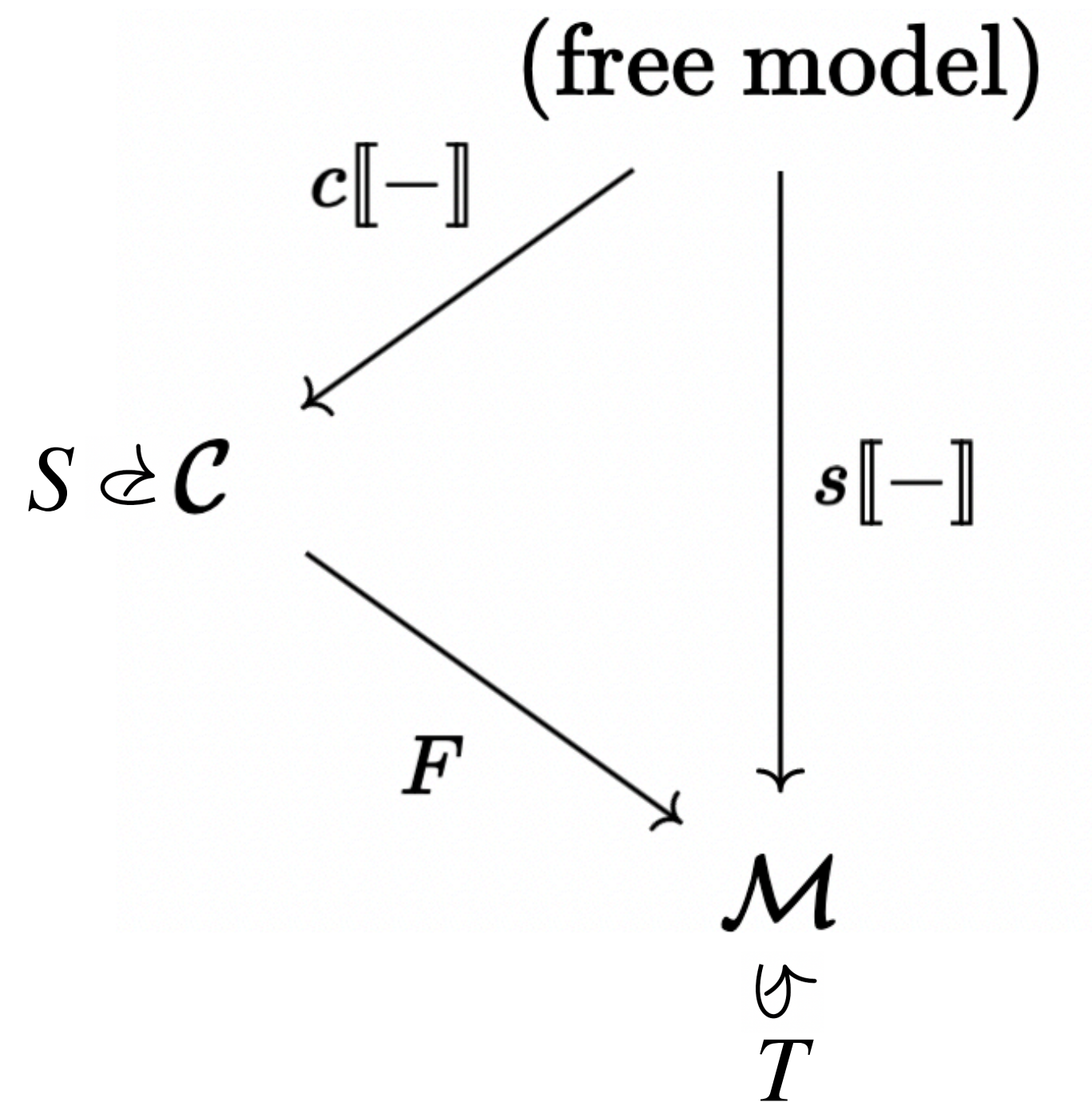
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# Converse to the Basic Lemma

Every morphism of models defines a logical relation:

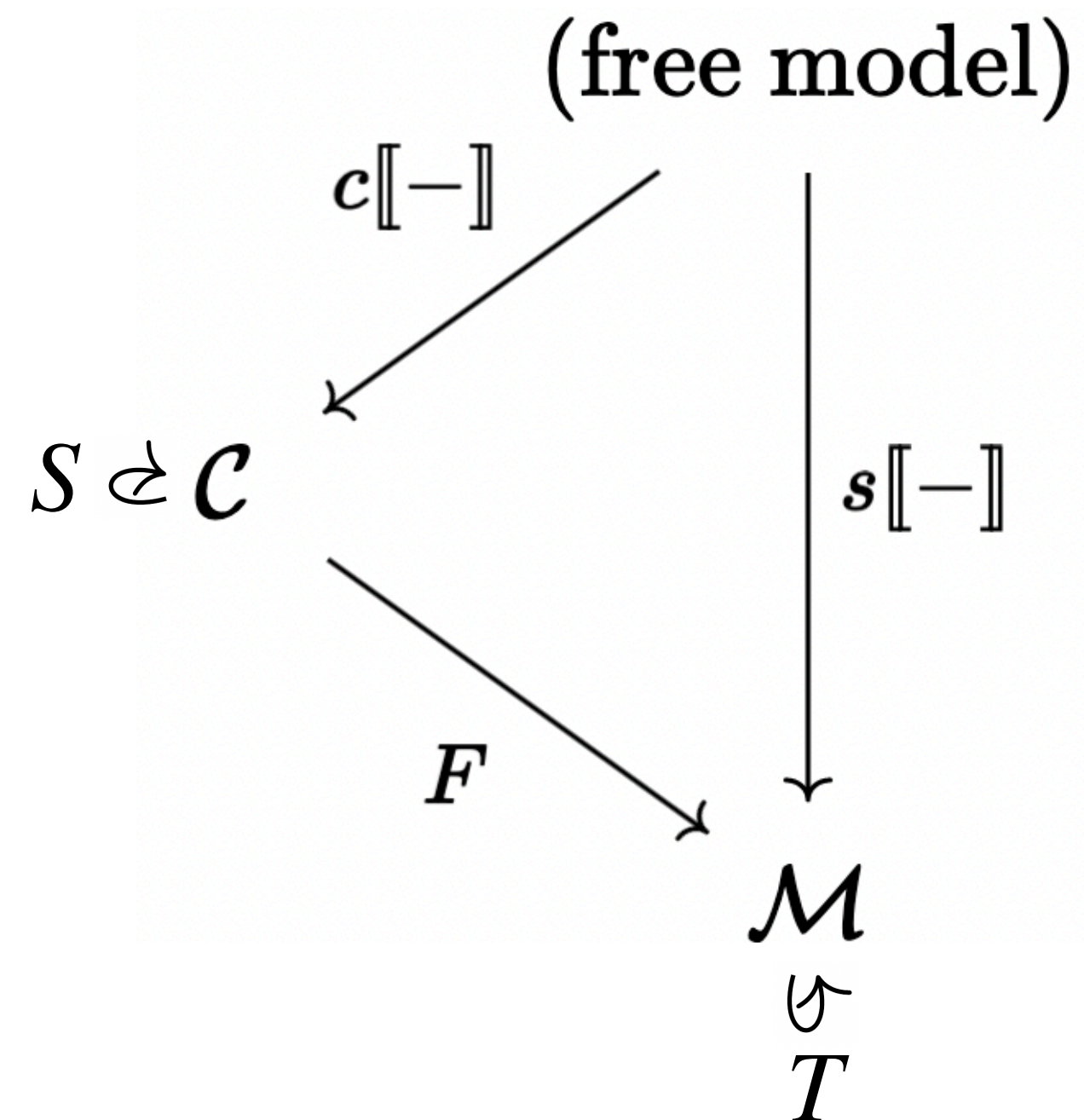




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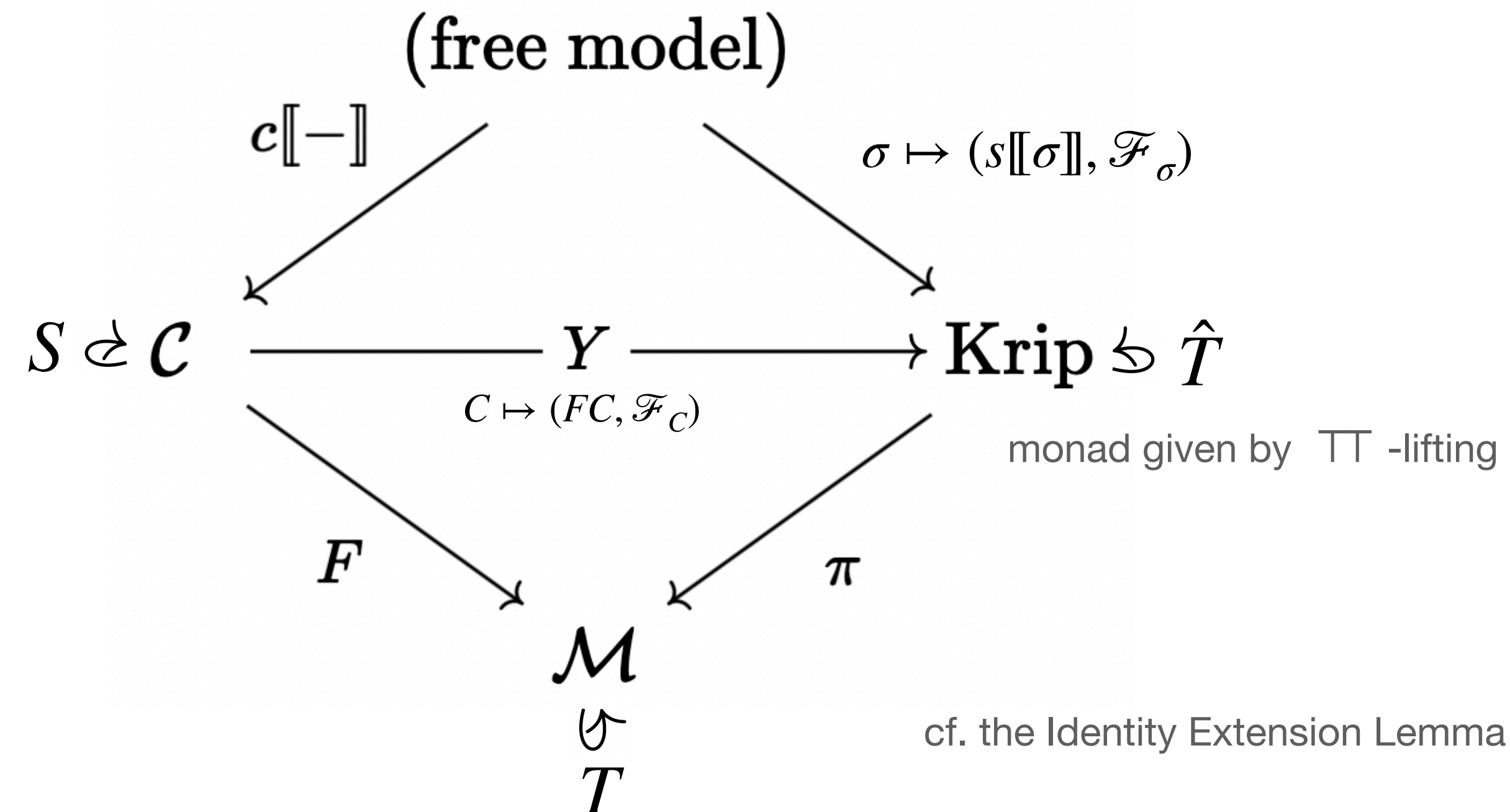
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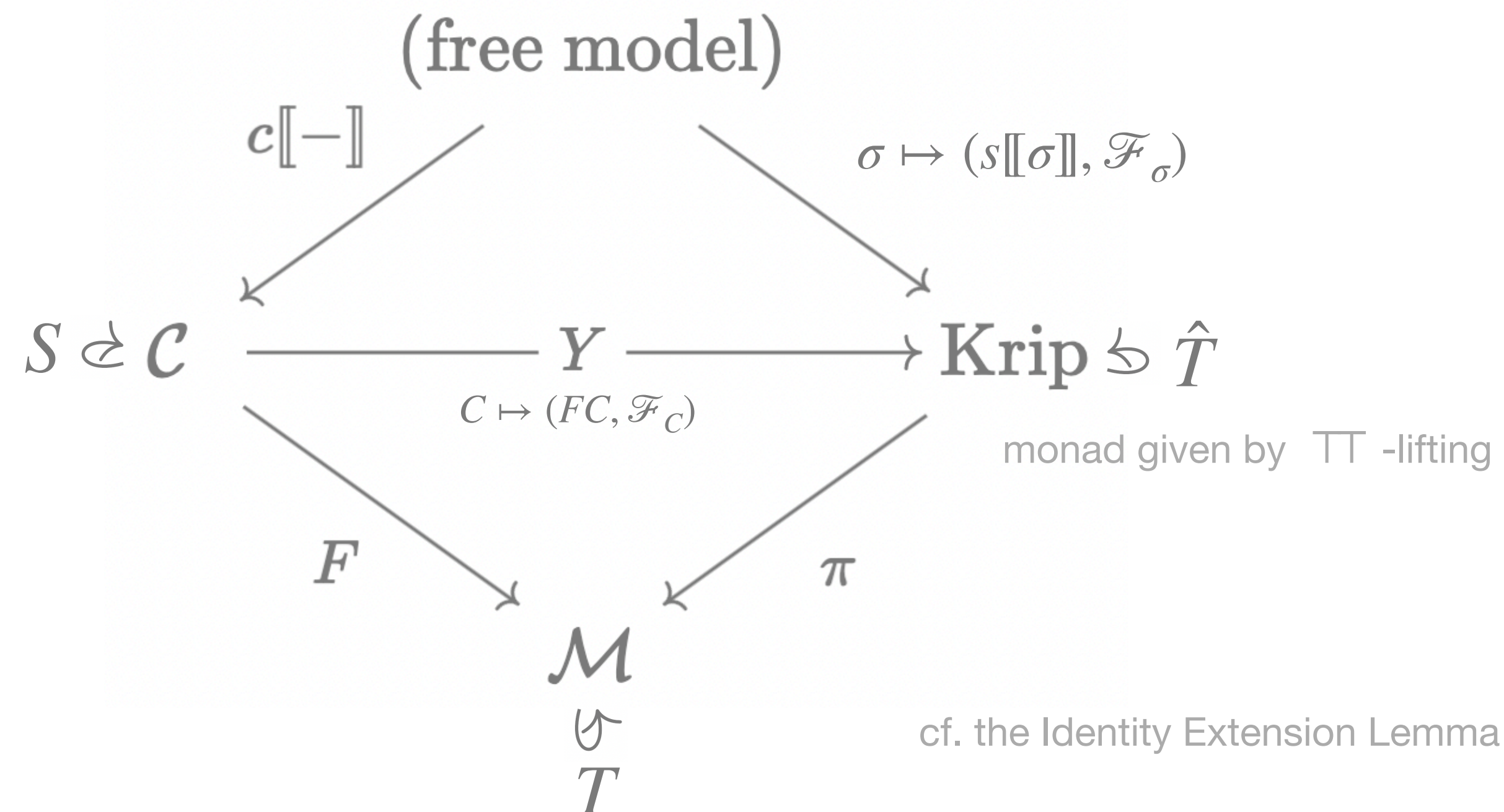
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- maps: definable maps

$\Rightarrow$  Def is logical





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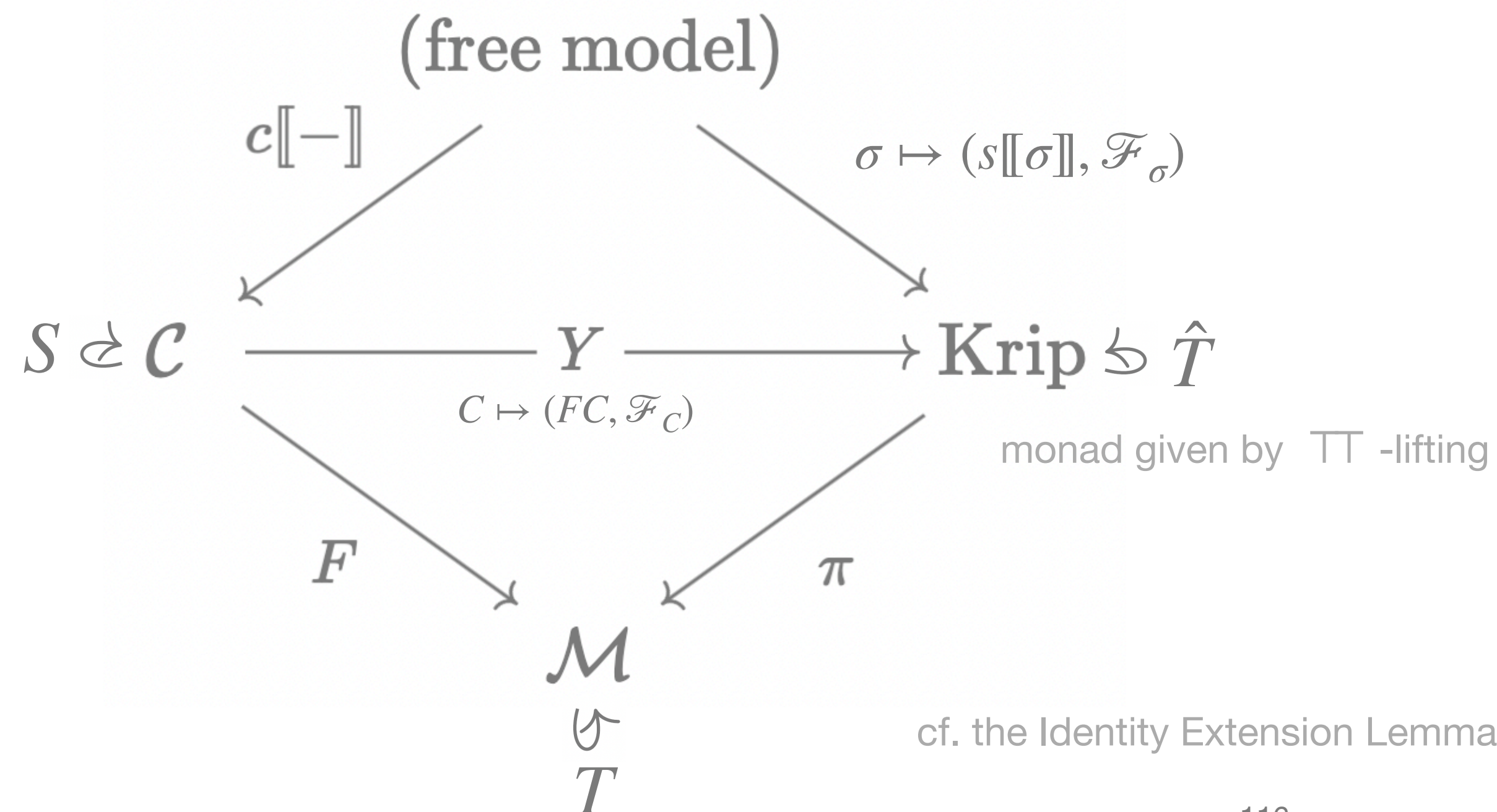
Every morphism of models defines a **hungry** logical relation:

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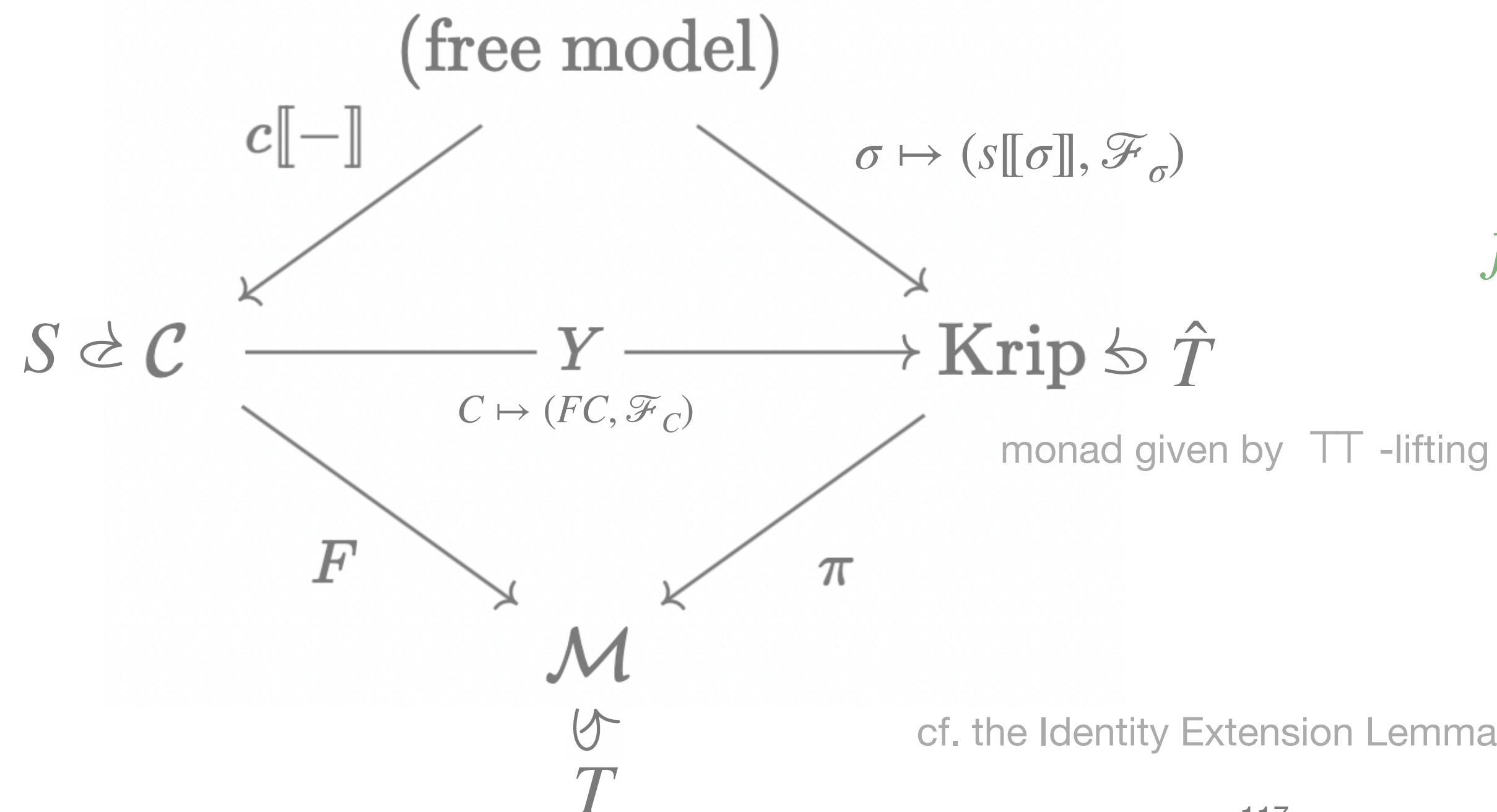
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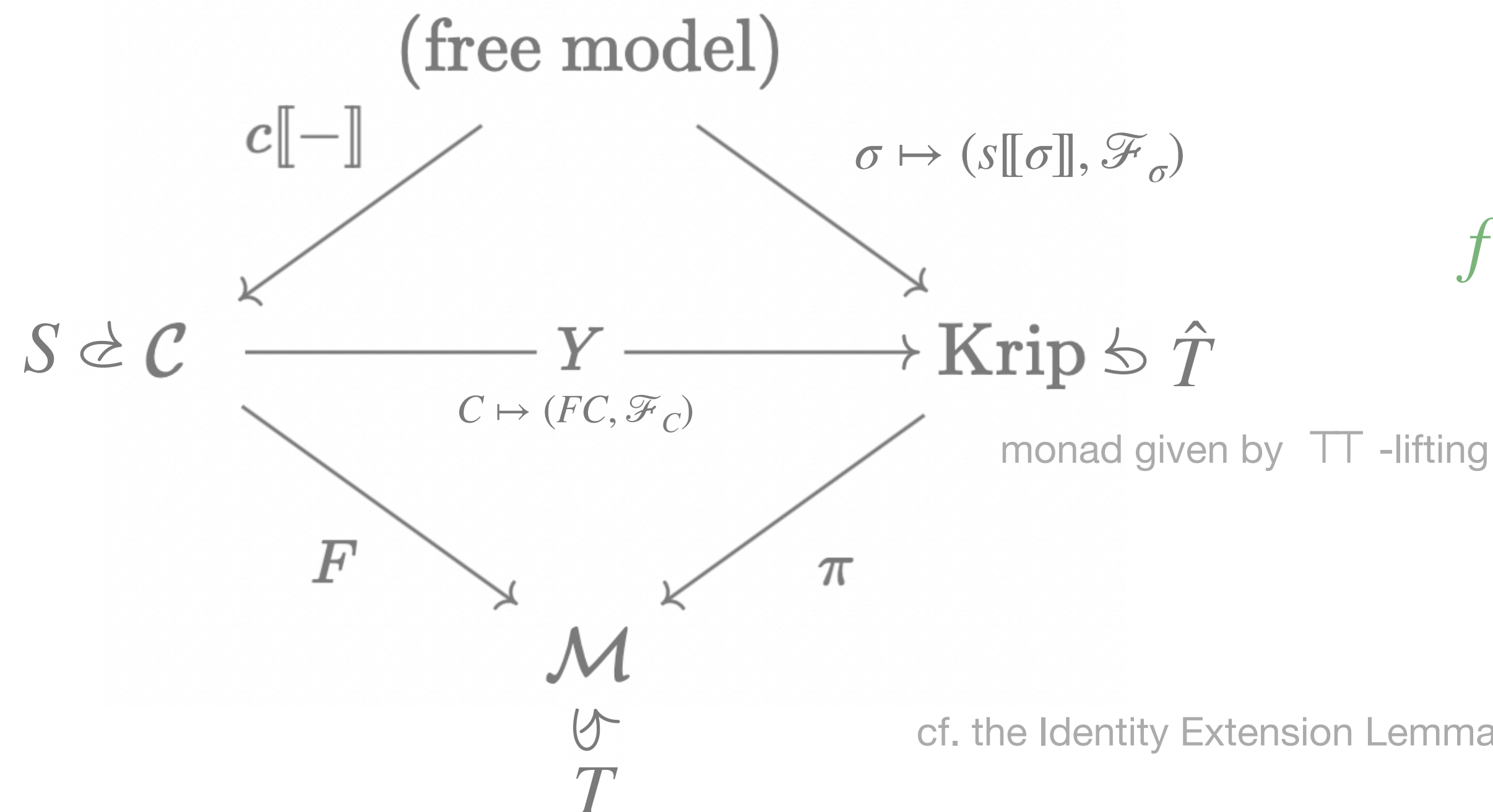
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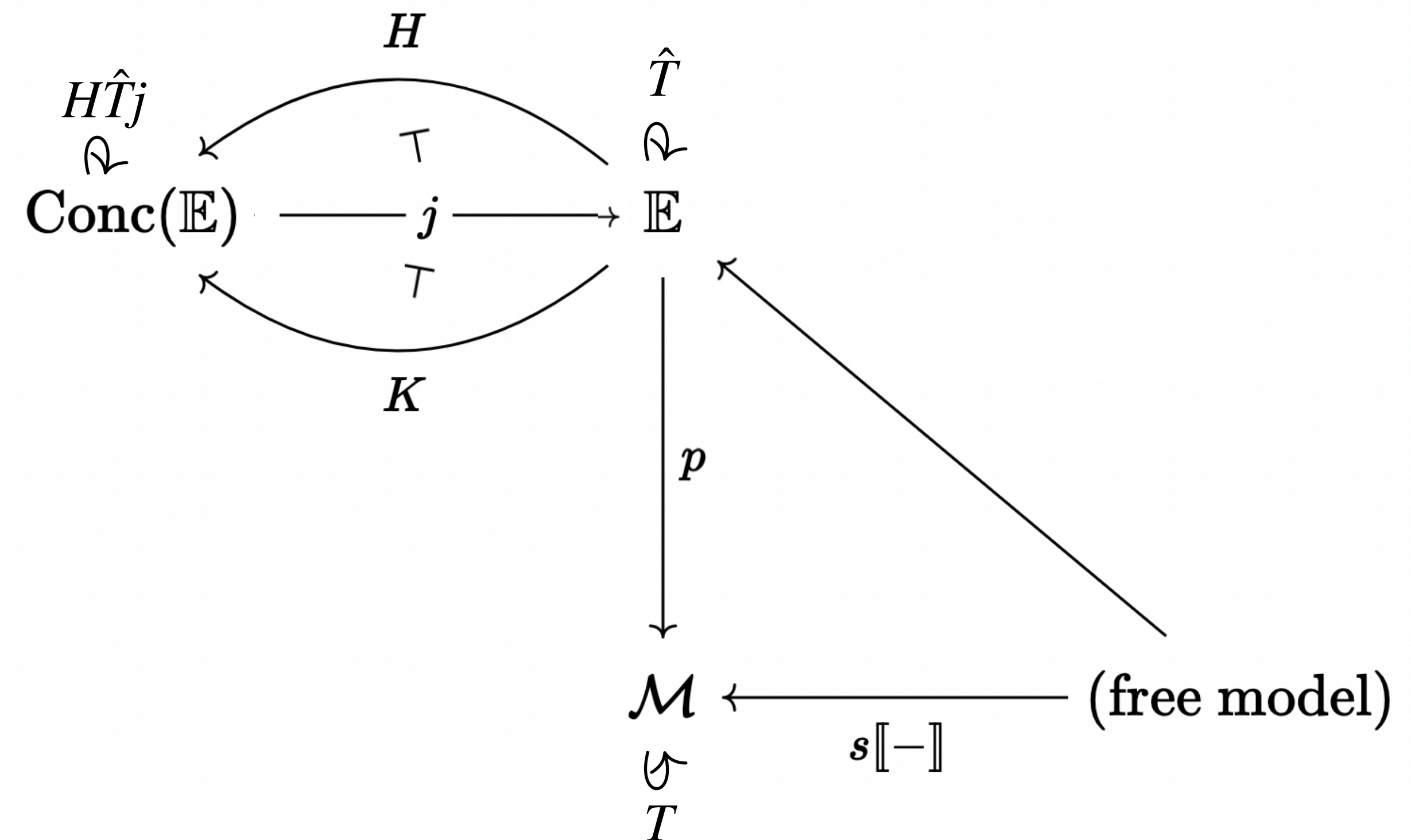


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# Logical relations and categories of concrete relations

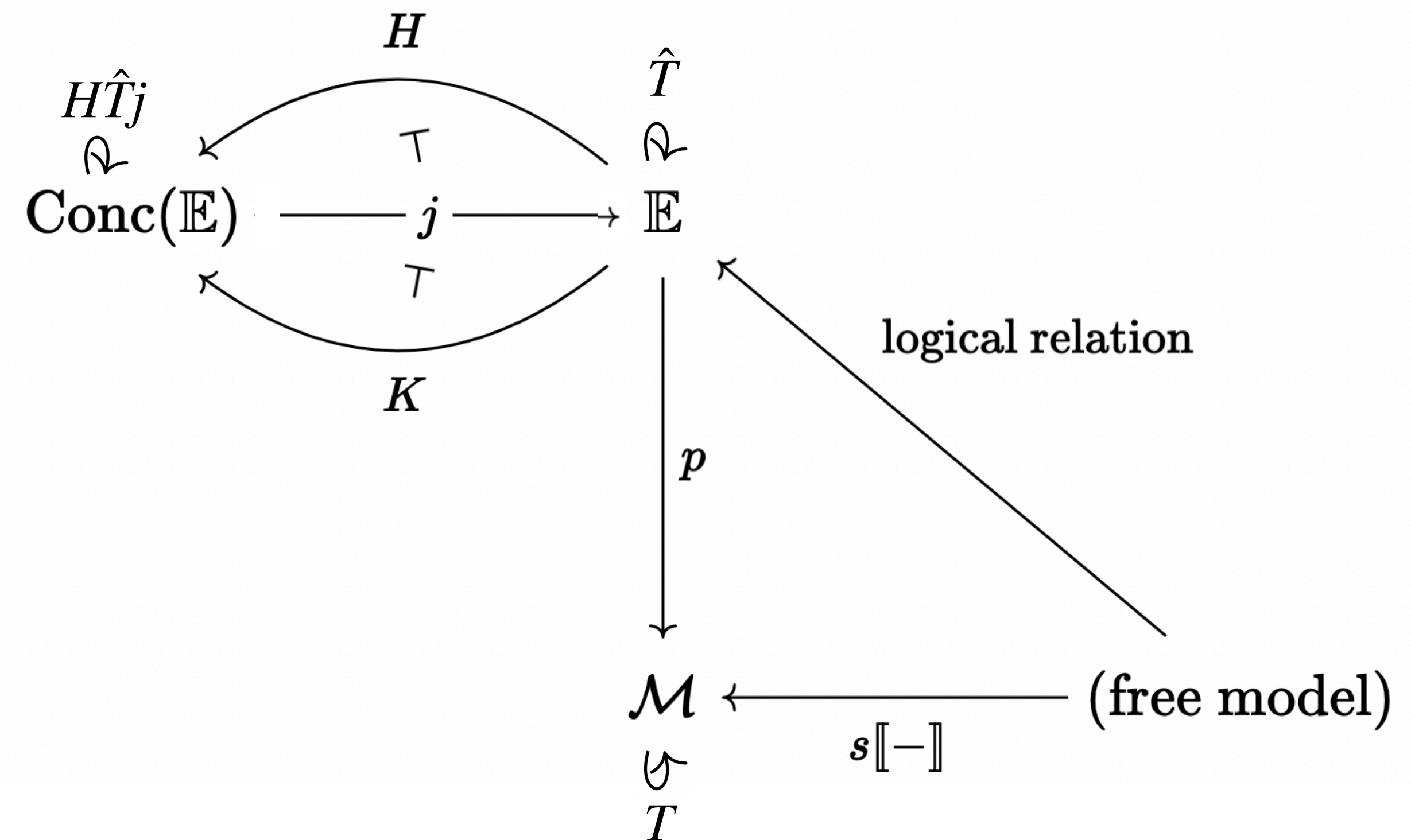


# Logical relations and categories of concrete relations





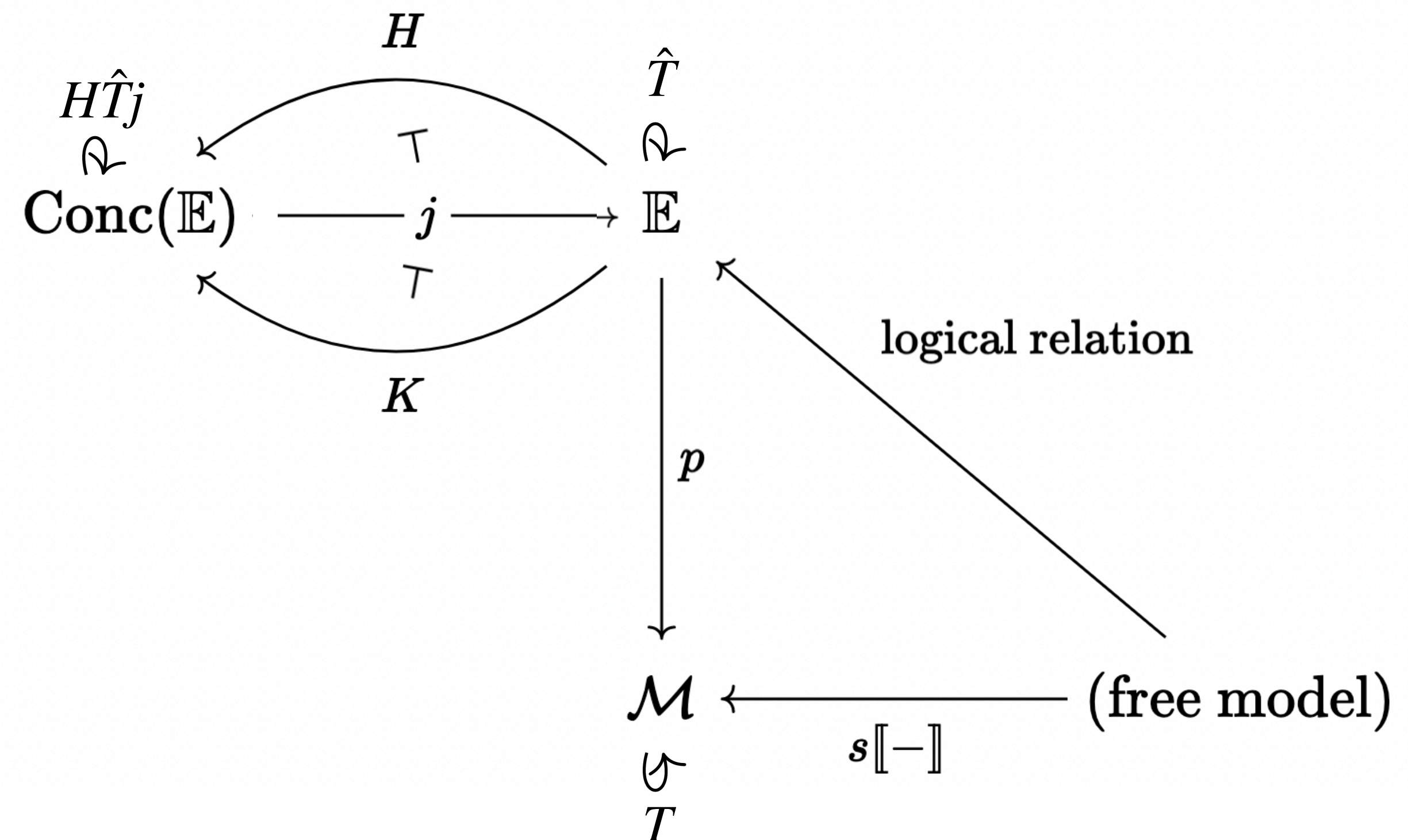
# Logical relations and categories of concrete relations



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Category of concrete relations

$\approx$  model with maps satisfying a logical relation

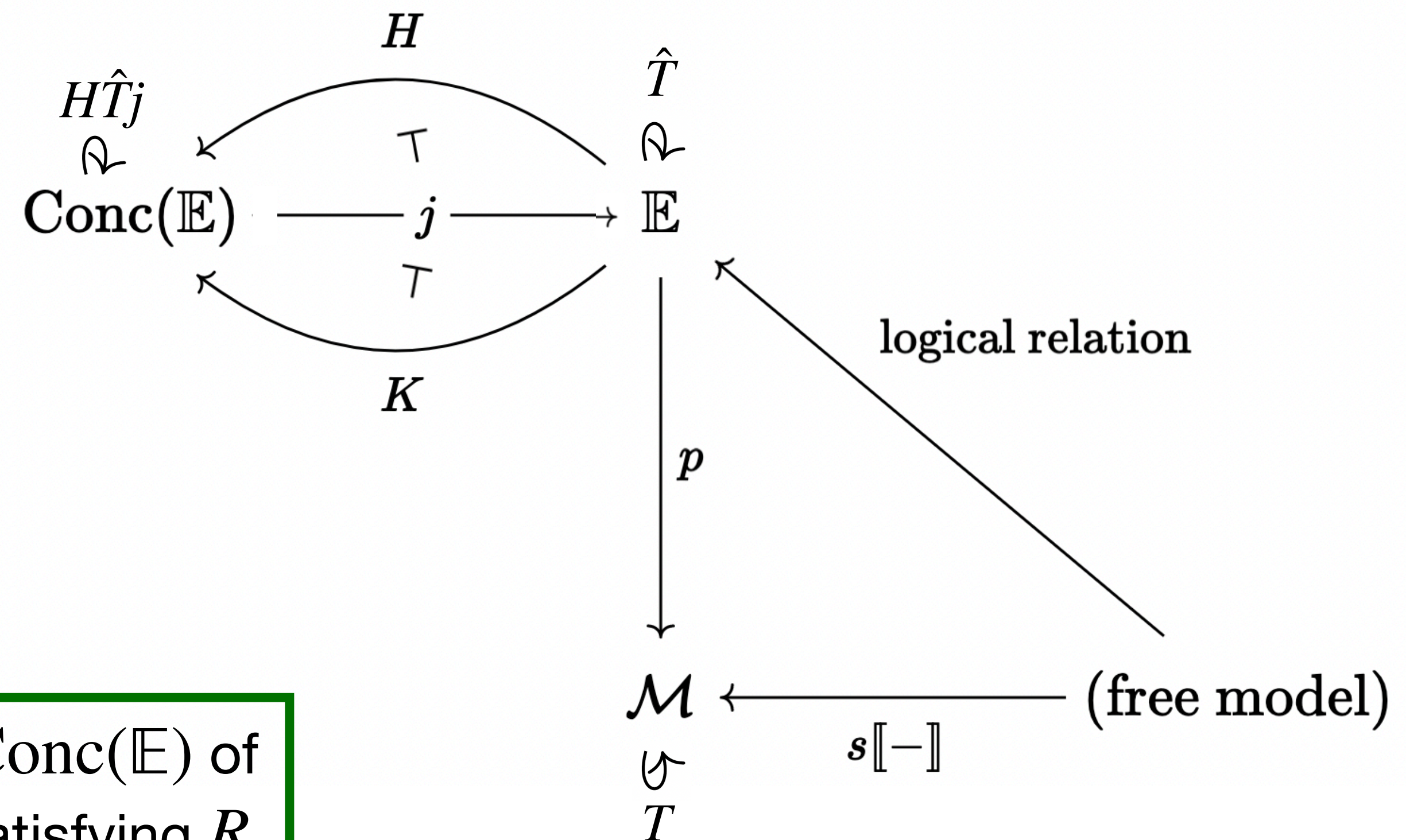




# Logical relations and categories of concrete relations

Category of concrete relations

$\approx$  model with maps satisfying a logical relation



If every  $R_\sigma$  is concrete:

model  $\mathcal{M}$   
+  
logical relation  $R$



model  $\text{Conc}(\mathbb{E})$  of  
maps satisfying  $R$

# Summing up: logical relations

1. Logical relations can be defined via internal fibrations (at least for STLC,  $\lambda_{ml}$  and  $\lambda_c$ )
2. 2-categorical perspective  $\Rightarrow$  a simple characterisation of definability
3.  $\text{Conc}(\mathbb{E})$  is a model with maps satisfying some logical relation

not always obvious what this is from the start!

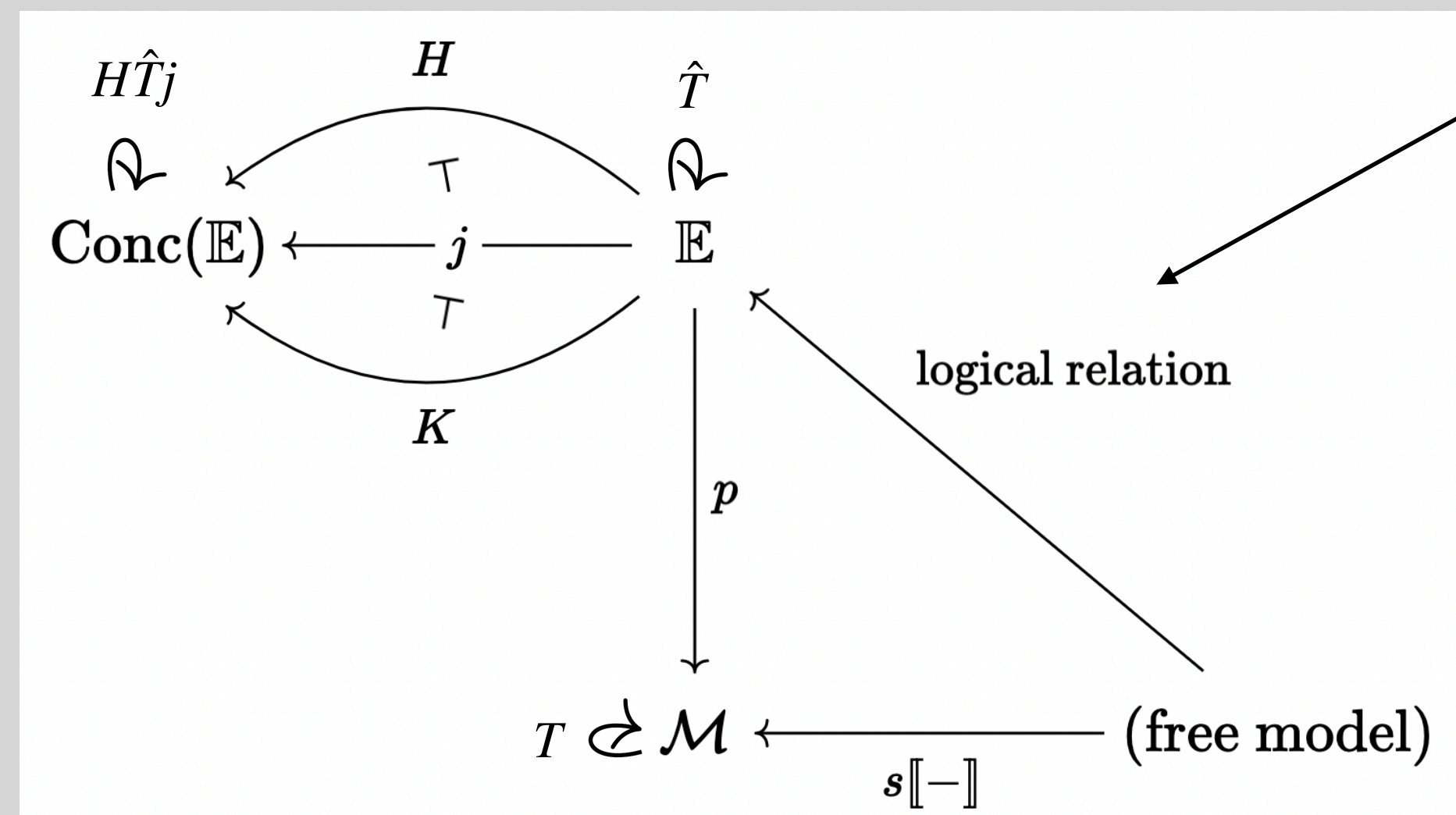
# **3: Models with every map definable**

**(‘full completeness’)**

# What doesn't work

## Logical relations and categories of concrete relations

Every logical relation determines a category of concrete relations



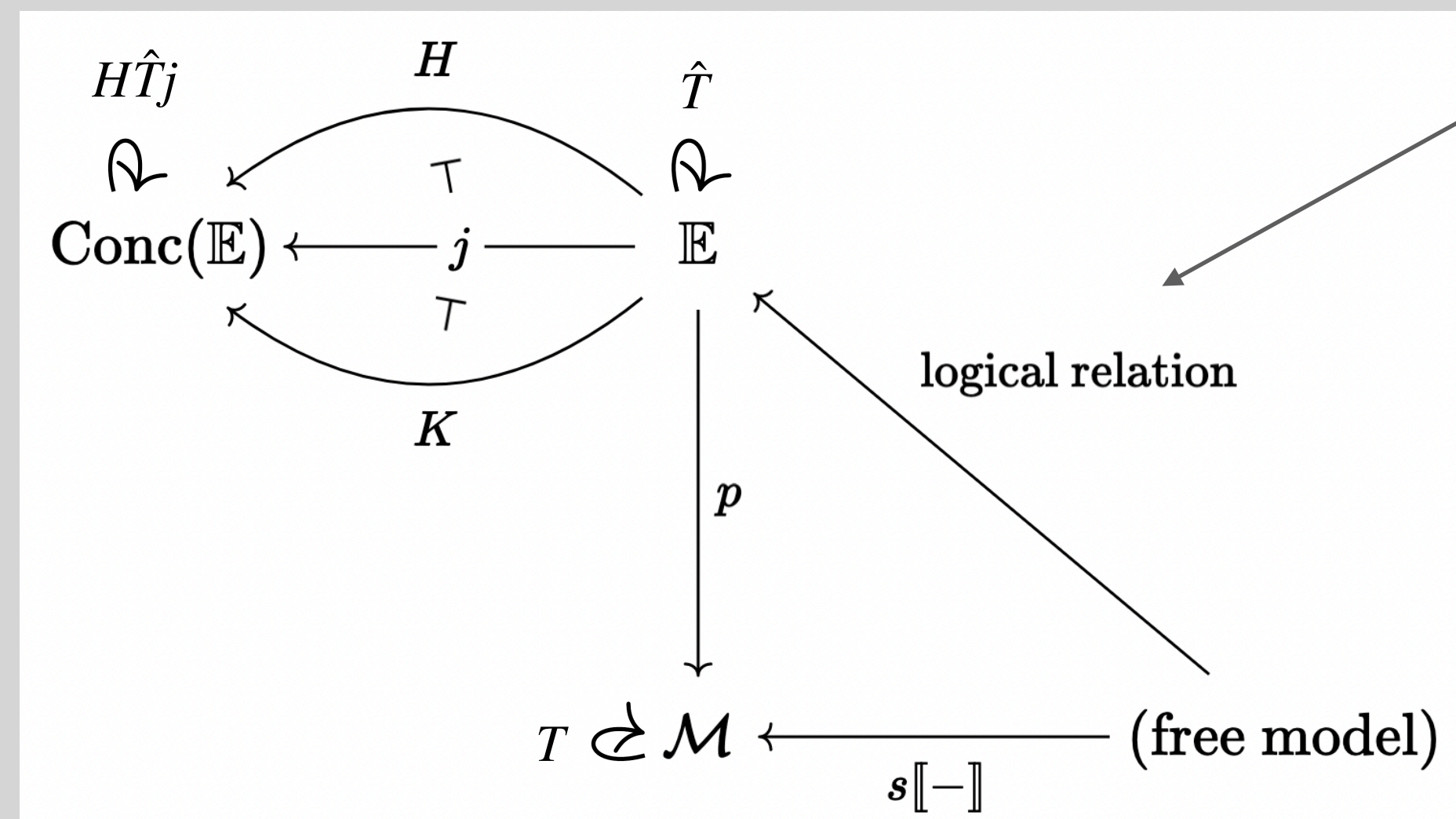
take this to be Def



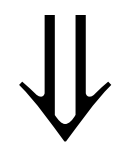
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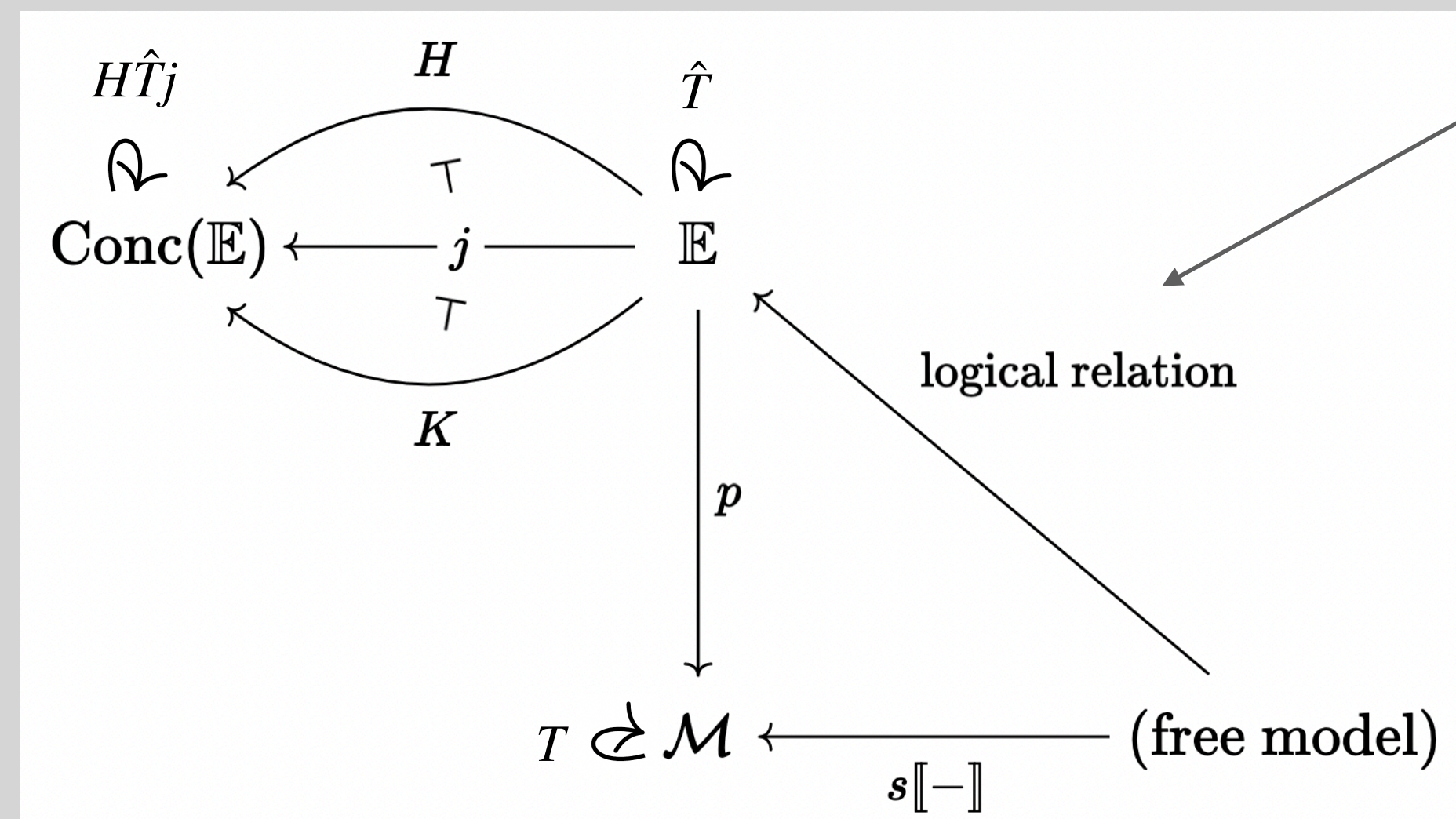


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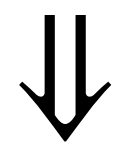
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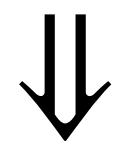
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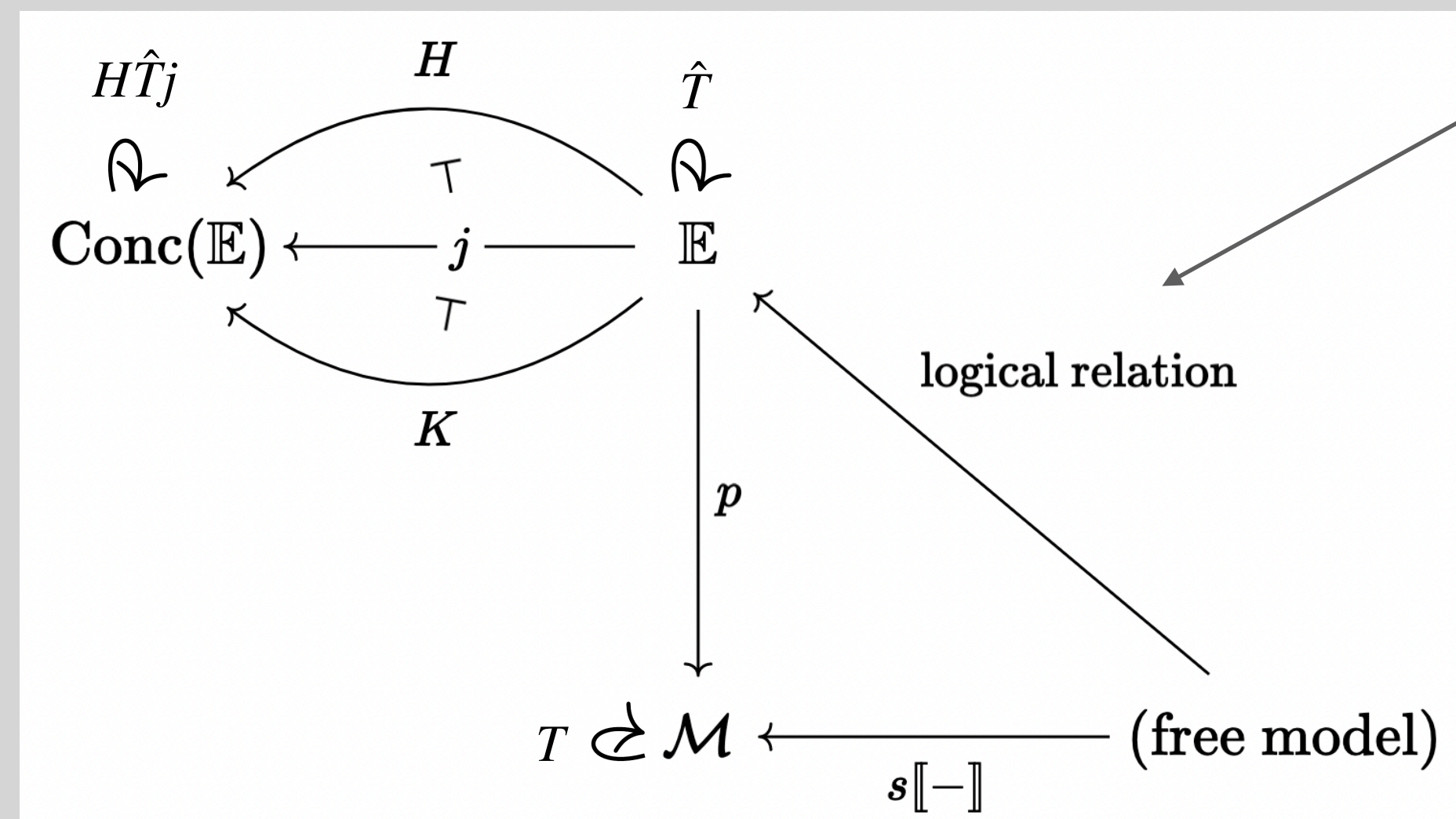
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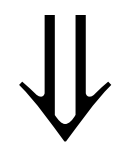
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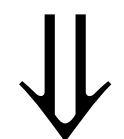
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Def for  $\text{Conc}(\mathbb{E})$  is not the same as Def for  $\mathcal{M}$ !

# The strategy (cf. O'Hearn & Riecke)

Define a category of concrete relations  $\text{OHR}(\mathcal{M})$   
with objects  $(X, \{R_i \mid i \in I\})$  such that

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then:

$f$  satisfies every logical  
relation, so is definable

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**How do we choose  $I$  and  $\llbracket - \rrbracket$ ?** The intuition:

$I = \left( \begin{array}{c} \text{set of logical relations} \\ \text{over } \text{OHR}(\mathcal{M}) \end{array} \right)$  ,  $\llbracket - \rrbracket$  looks up the required relation

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**Circular dependencies!**

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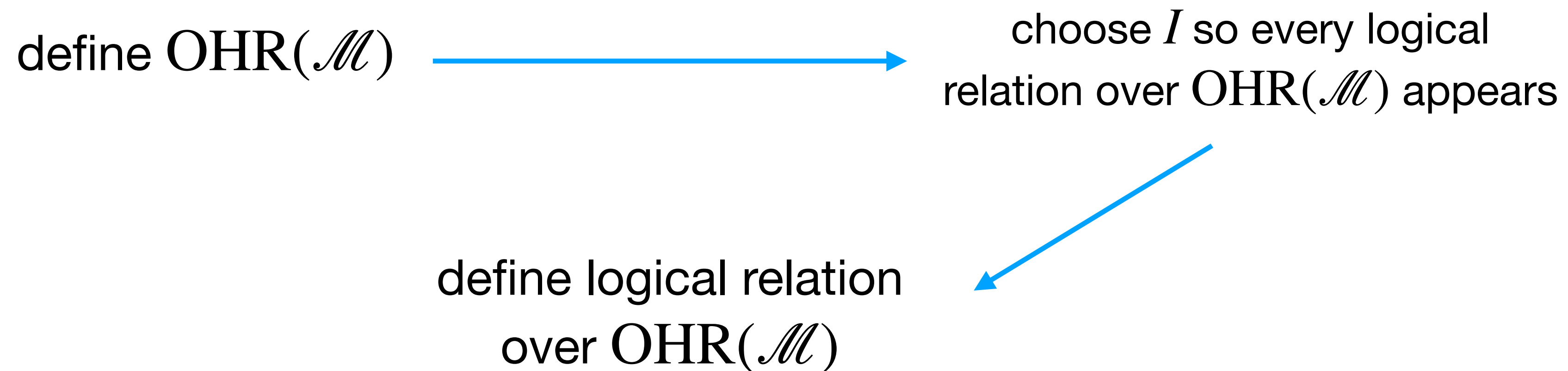
## Circular dependencies!

define  $\text{OHR}(\mathcal{M})$   choose  $I$  so every logical relation over  $\text{OHR}(\mathcal{M})$  appears

How do we choose  $I$  and  $\llbracket - \rrbracket$ ? The intuition:

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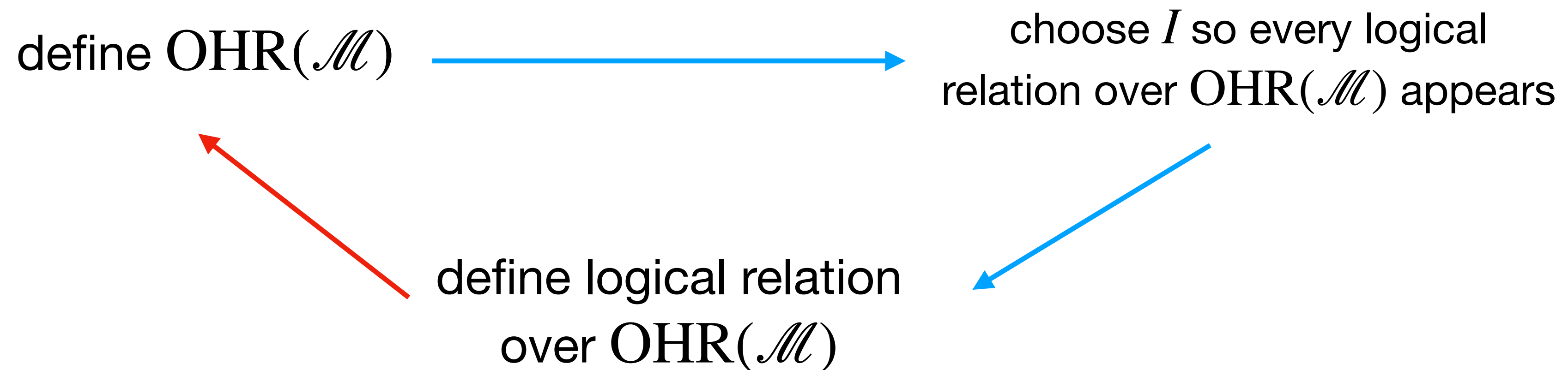
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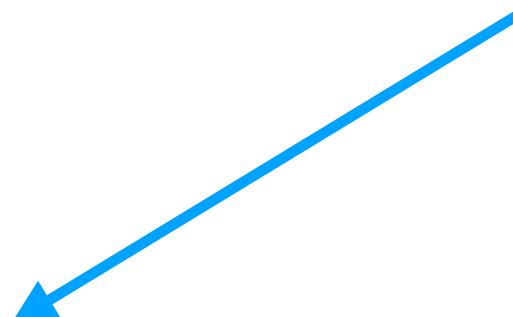
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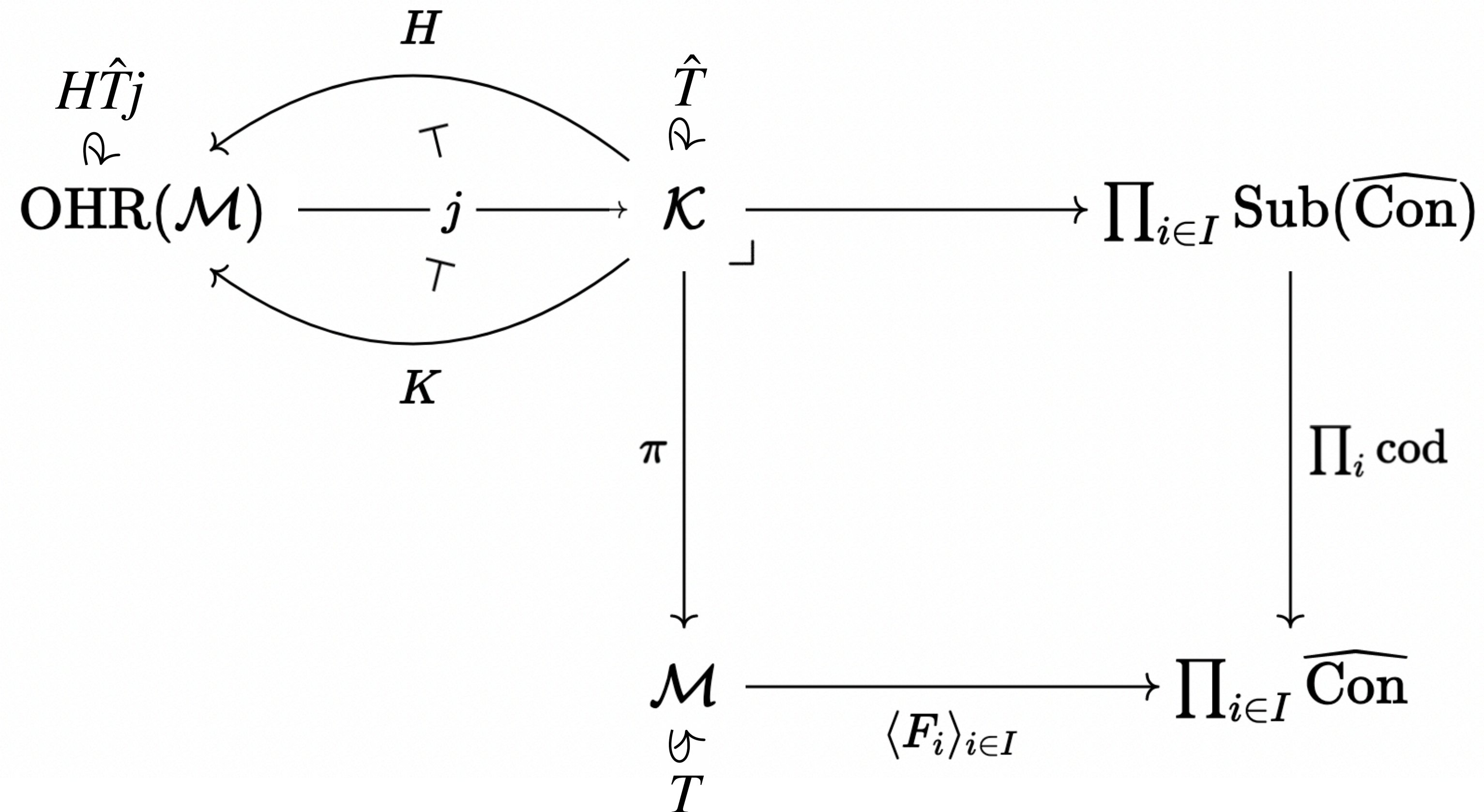
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choose  $I$  so every possible  
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identify logical relations over  
 $\text{OHR}(\mathcal{M})$  amongst relations  
over  $\mathcal{M}$  

# The OHR construction (cf. O'Hearn & Riecke)

Choose  $I$  as above, then construct the following category of concrete relations:



# Summary

- Categories of concrete relations are a flexible way to ‘cut down’ models
- Viewed from a general enough perspective, these restrict to maps satisfying a logical relation
- Basic properties of logical relations follow from abstract nonsense
- Combining this theory  $\leadsto$  can construct fully complete models

## Future work

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